

# Low-temperature carbothermic reduction for recycling of $\text{LiCoO}_2$ : the crucial role of cellulose

---



Eleonora Carena, Chiara Ferrara

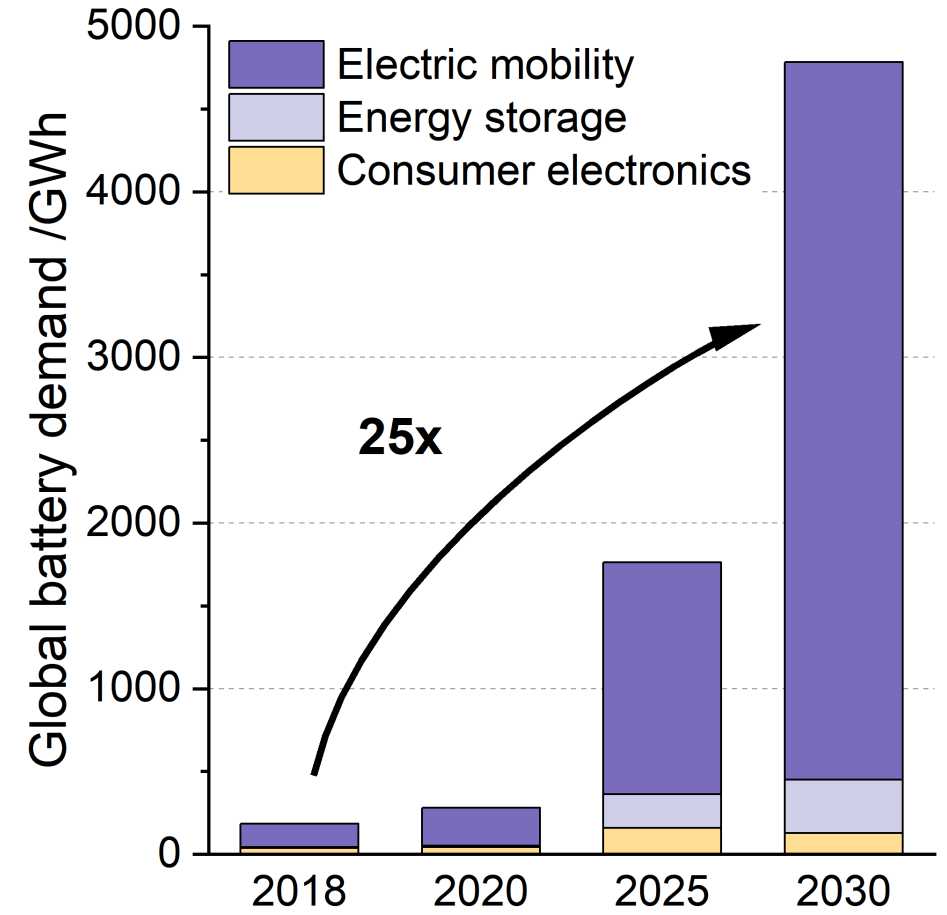
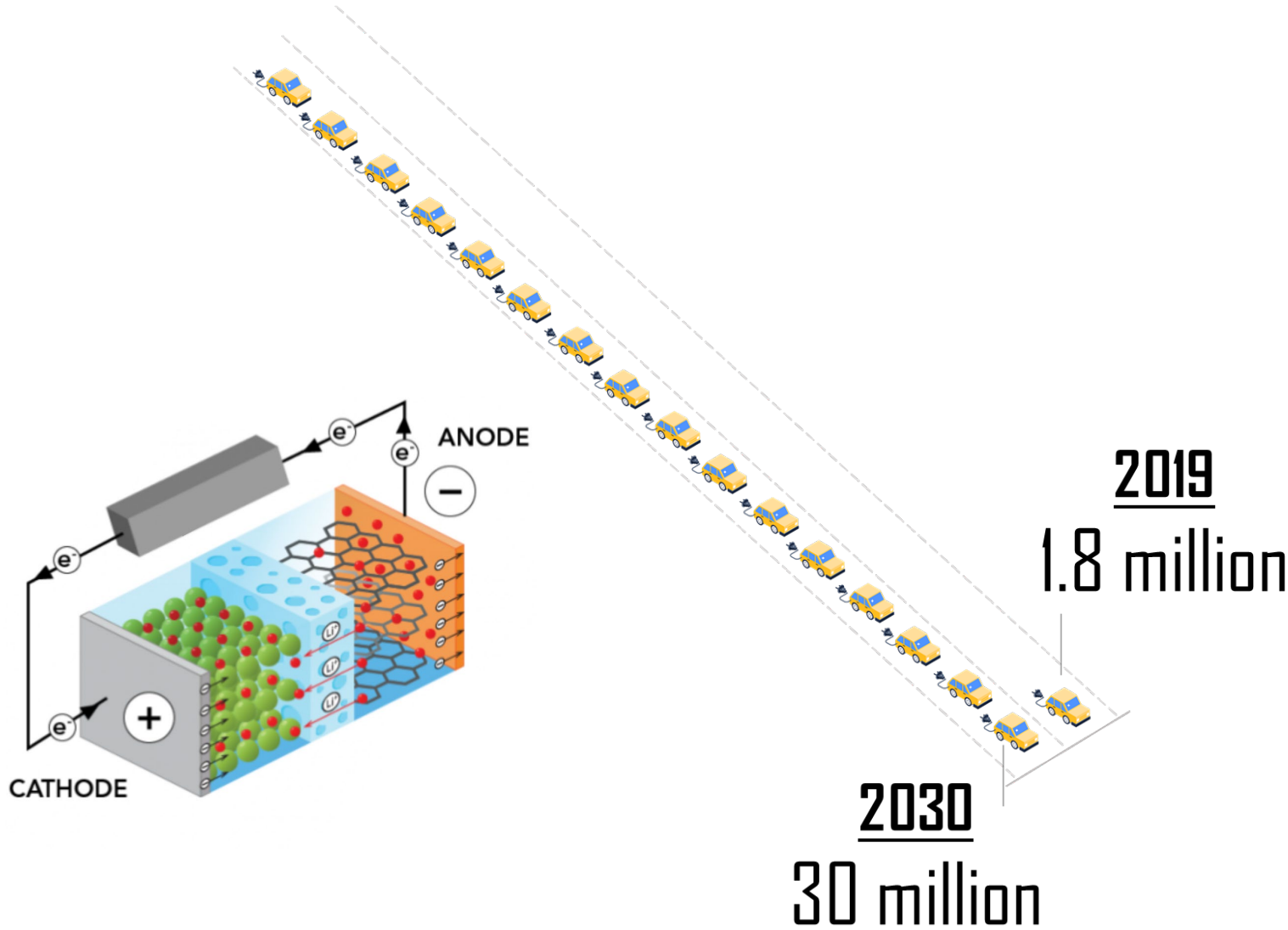
PhD student



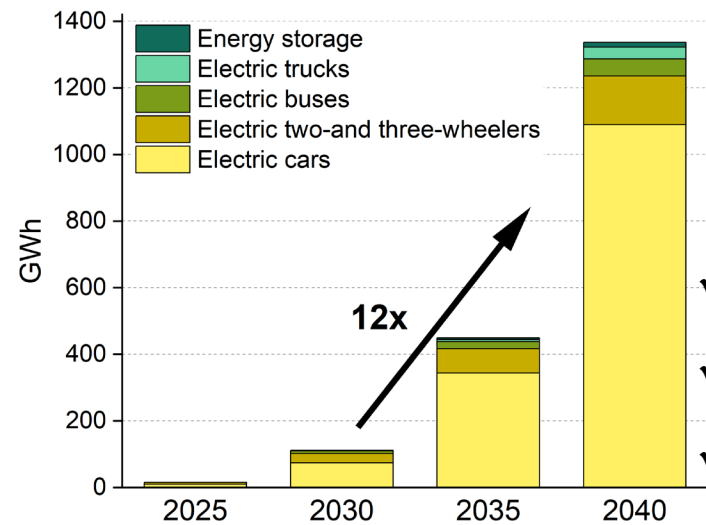
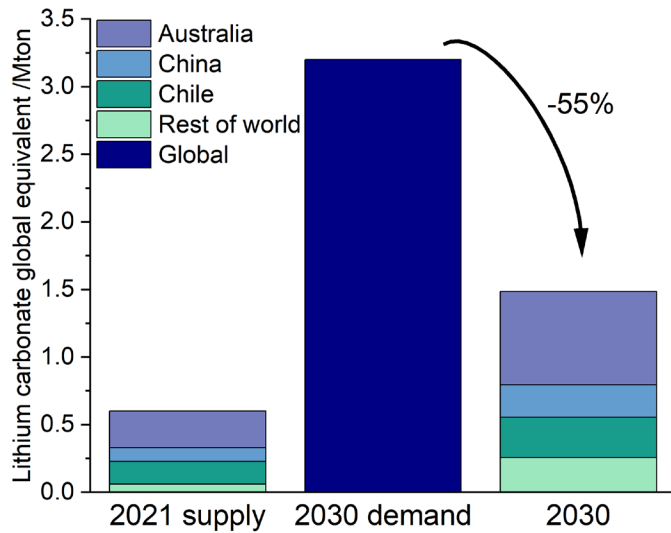
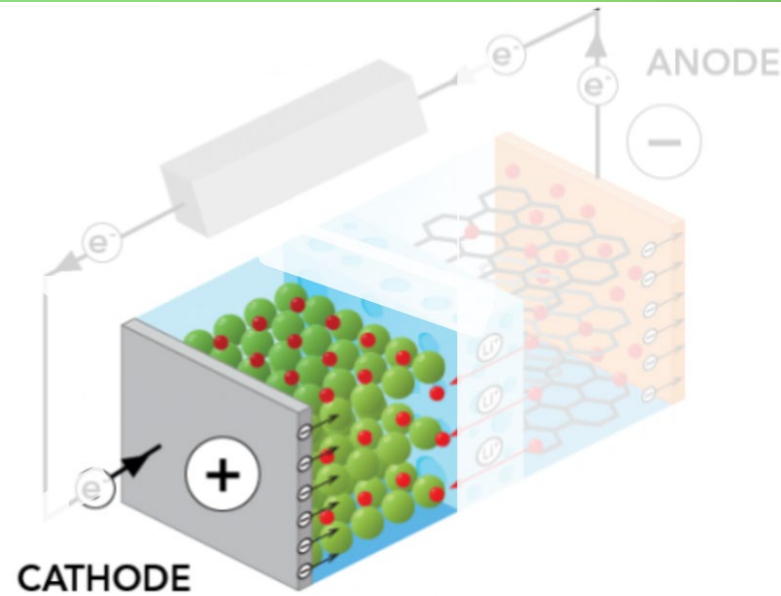
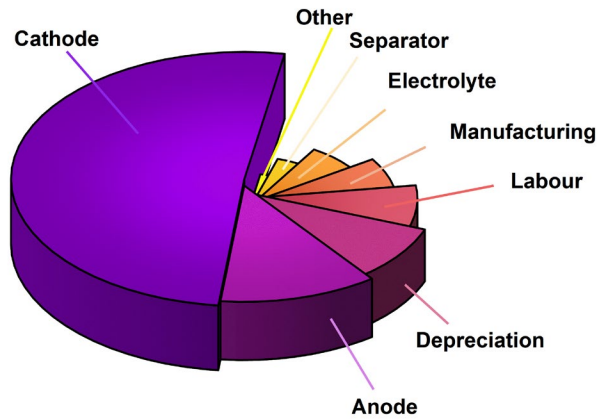
Fondazione  
CARIPLO



# Lithium-Ion Batteries



# Lithium-Ion Batteries



- ✓ Human price and geographical disruption
- ✓ Short supply of lithium
- ✓ Massive amount of waste LIBs

# Lithium-Ion Batteries

'Like slave and master': DRC miners toil for 30p an hour to fuel electric cars



The Washington Post  
*Democracy Dies in Darkness*

CLEAN CARS, HIDDEN TOLL

## Despite reforms, mining for EV metals in Congo exacts steep cost on workers

After revelations of child labor and treacherous conditions in many cobalt mines, automakers and mineral



AMNESTY INTERNATIONAL

ENGLISH

Blinded, sexually assaulted, silenced: the war over lithium, Argentina's 'white gold'



## POWERING CHANGE OR

## BUSINESS AS USUAL

Forced evictions at industrial cobalt and copper mines in the Democratic Republic of the Congo

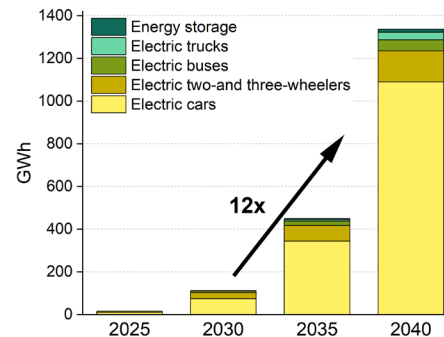
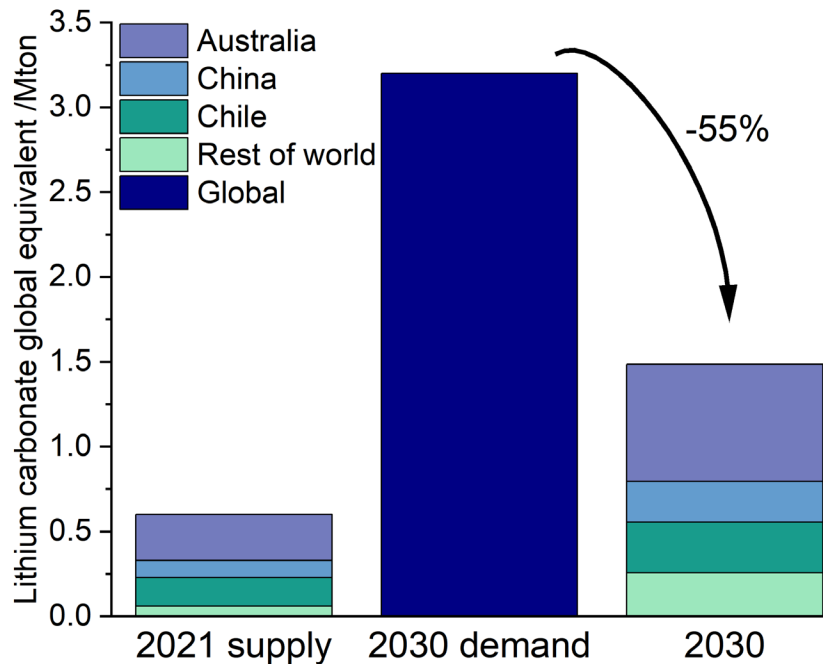
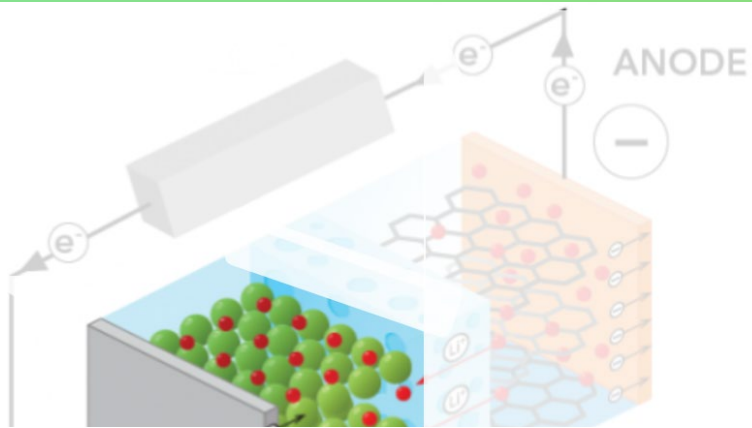
✓ Human price and geographical disruption

Argentina: la fiebre por el litio amenaza los derechos de los pueblos indígenas en Jujuy



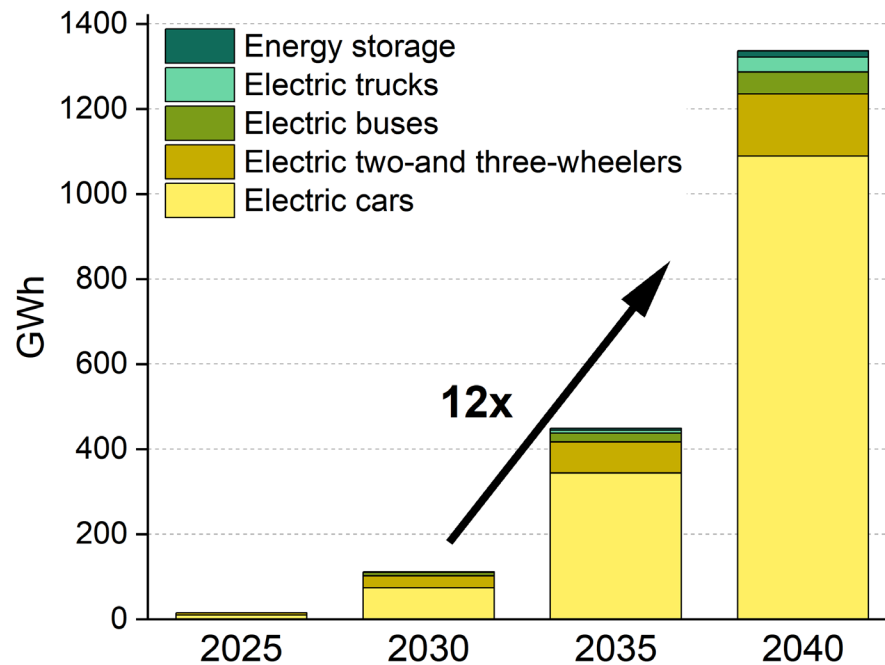
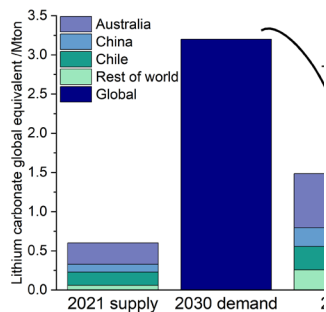
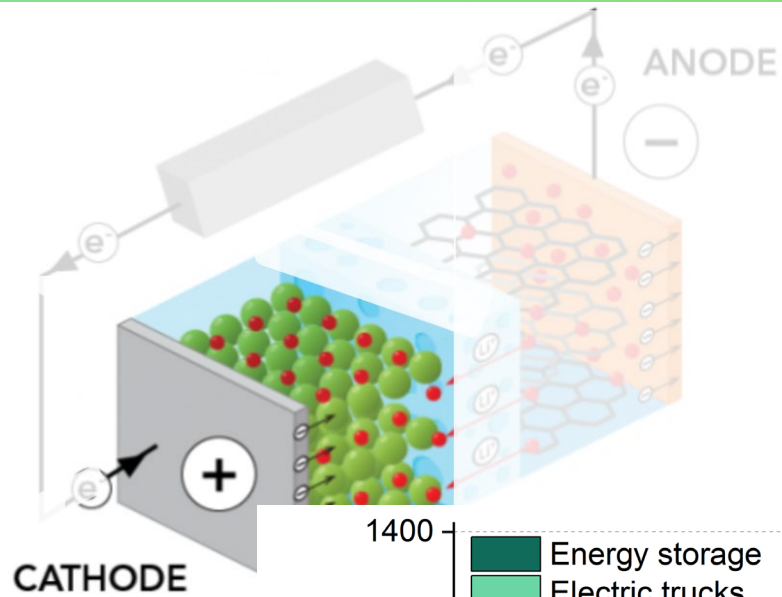
FEDERACIÓN INTERNACIONAL POR LOS DERECHOS HUMANOS

# Lithium-Ion Batteries



- ✓ Human price and geographical disruption
- ✓ **Short supply of lithium**
- ✓ Massive amount of waste LIBs

# Lithium-Ion Batteries

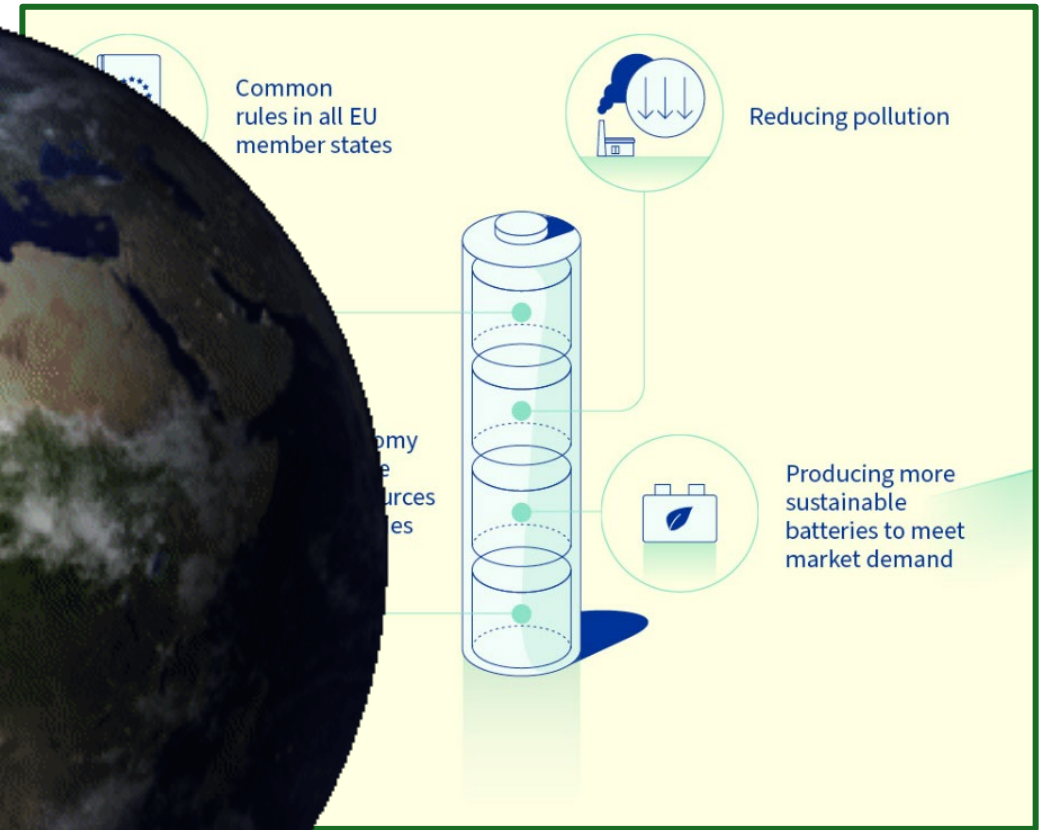
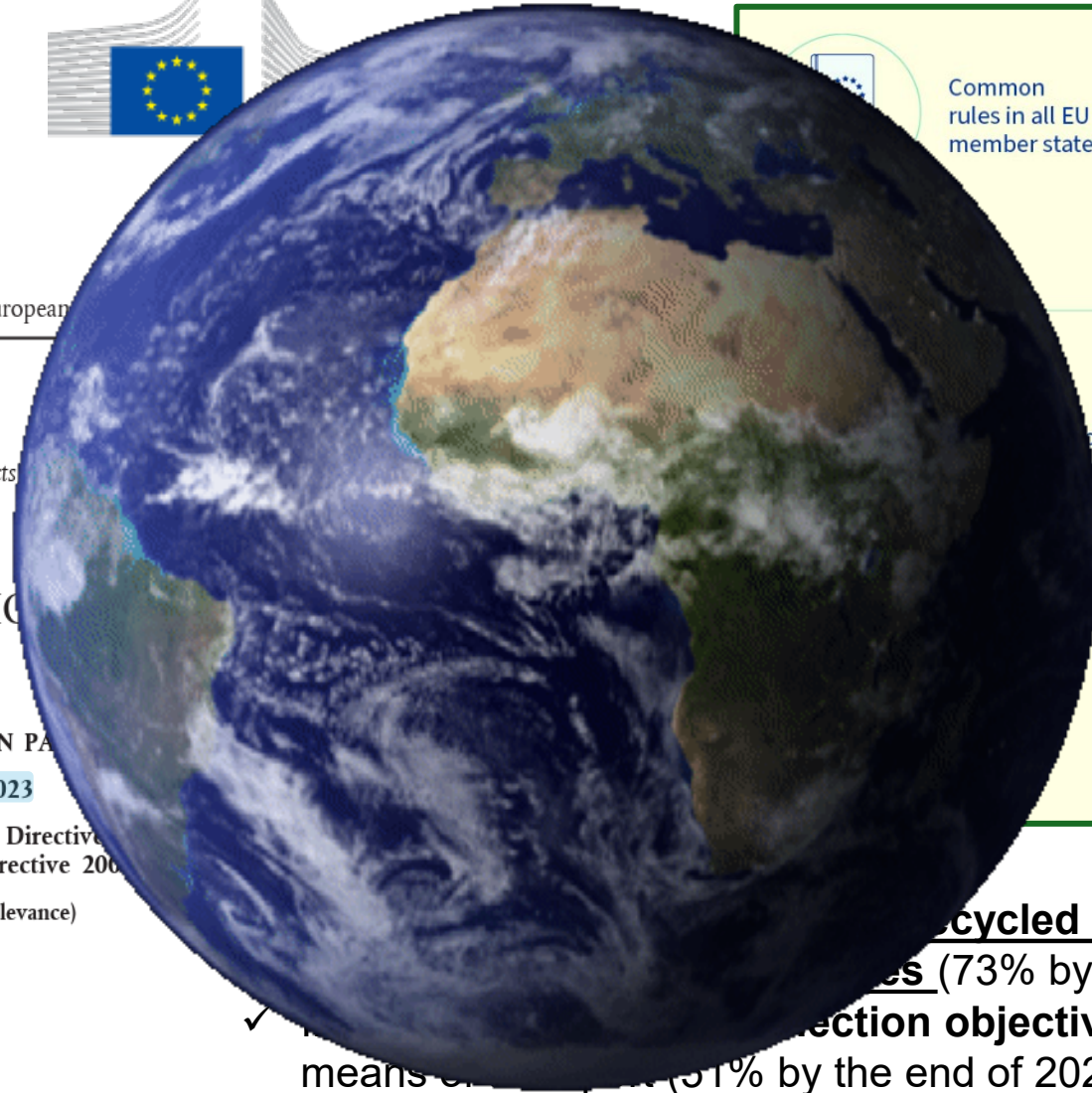


✓ Human price and geographical disruption

✓ Short supply of lithium

✓ **Massive amount of waste LIBs**

# Recycling as a global opportunity – EU Regulations



Official Journal of the European Union

I  
(Legislative acts)

REGULATION

REGULATION (EU) 2023/1542 OF THE EUROPEAN PARLIAM  
of 12 July 2023

concerning batteries and waste batteries, amending Directive  
2019/1020 and repealing Directive 2006/66/EC

(Text with EEA relevance)

## Recycled content

- ✓ Minimum targets for recycled content (73% by the end of 2030)
- ✓ Minimum target for collection objective for waste batteries for light means of transport (51% by the end of 2028 and 61% by the end of 2031)
- ✓ Minimum targets for recovery of lithium at 80% by the end of 2031

# Recycling as a global opportunity – US Regulations



US Federal Statutes:

- **Infrastructure Investment and Job Act** (Nov. 2021):
  - \$3 billion for battery manufacturing and recycling grant program
  - process for research into reuse of electric vehicle batteries
  - proposes a task force to develop an extended producer responsibility framework
  - guidelines for voluntary battery labelling
  - best practices for battery recycling
- **Resource Conservation and Recovery Act** (Oct. 1976) for the disposal of solid waste and hazardous waste
  - legal framework for the disposal of solid wastes



**Memorandum of Understanding** (Sep. 2021)

- develop recycling standards for Li-ion batteries to help manufacturers understand what materials and designs will be more recyclable



# Recycling as a global opportunity



Pyrometallurgy

Direct Recycling

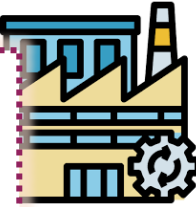
Hydrometallurgy

# Recycling as a global opportunity

## Industrial level

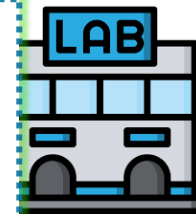
### Pyrometallurgy

- High energy consumption ( $T > 1500\text{ }^{\circ}\text{C}$ )
- Loss of lithium
- Low purity (metal alloy)
- Emission of polluting gases



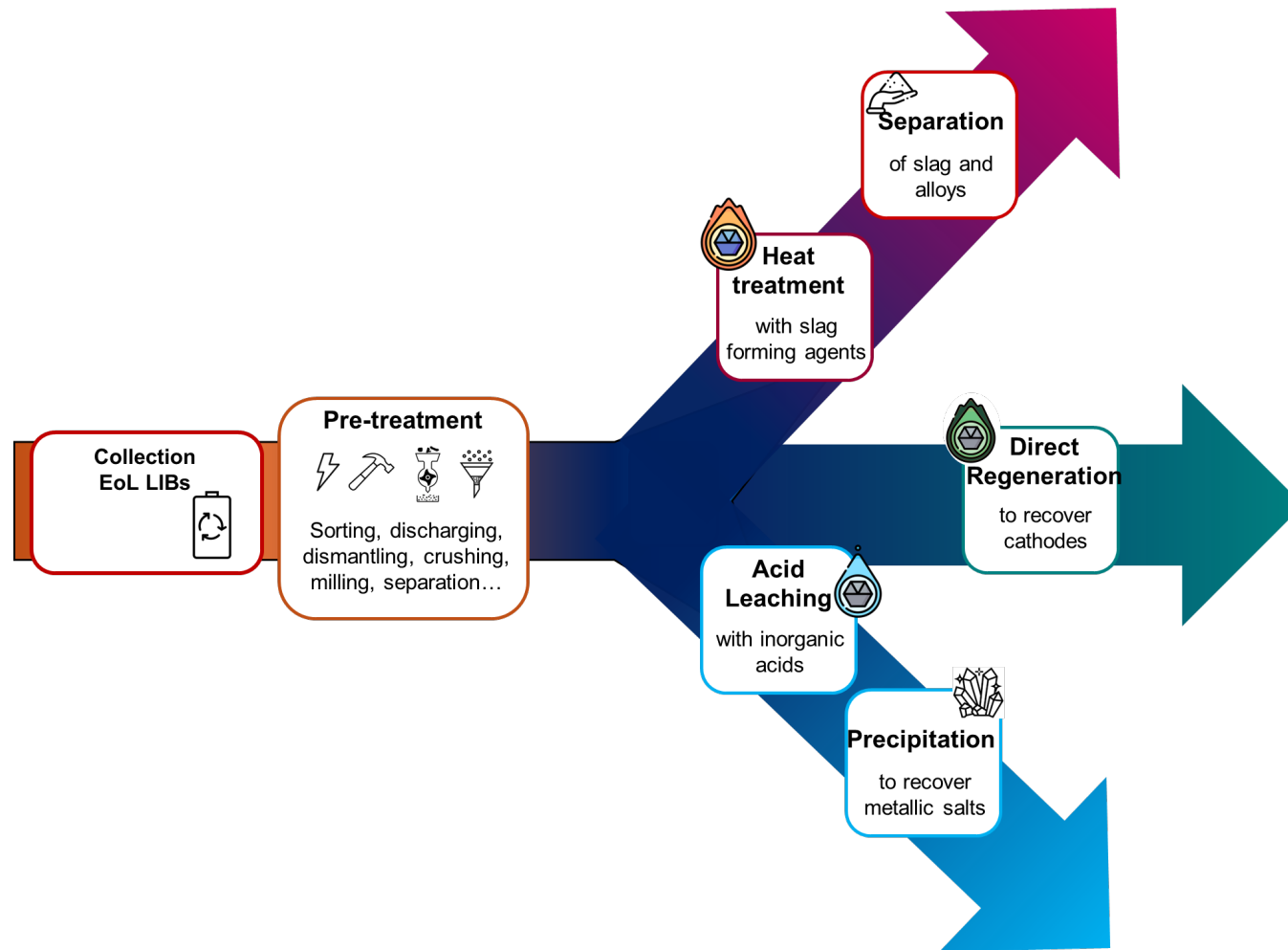
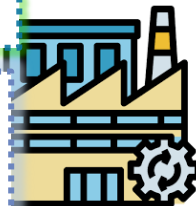
### Direct Recycling

- Low impurity tolerance
- High cost of lithium sources and additives
- Safety concerns related to high vapor pressure
- Complicated upscaling



### Hydrometallurgy

- High water consumption
- Acidic wastewater neutralization
- Need of separation and purification techniques
- Emission of polluting gases

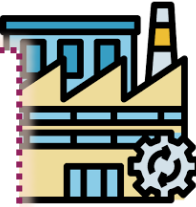


# Recycling as a global opportunity

## Industrial level

### Pyrometallurgy

- High energy consumption ( $T > 1500\text{ }^{\circ}\text{C}$ )
- Loss of lithium
- Low purity (metal alloy)
- Emission of polluting gases



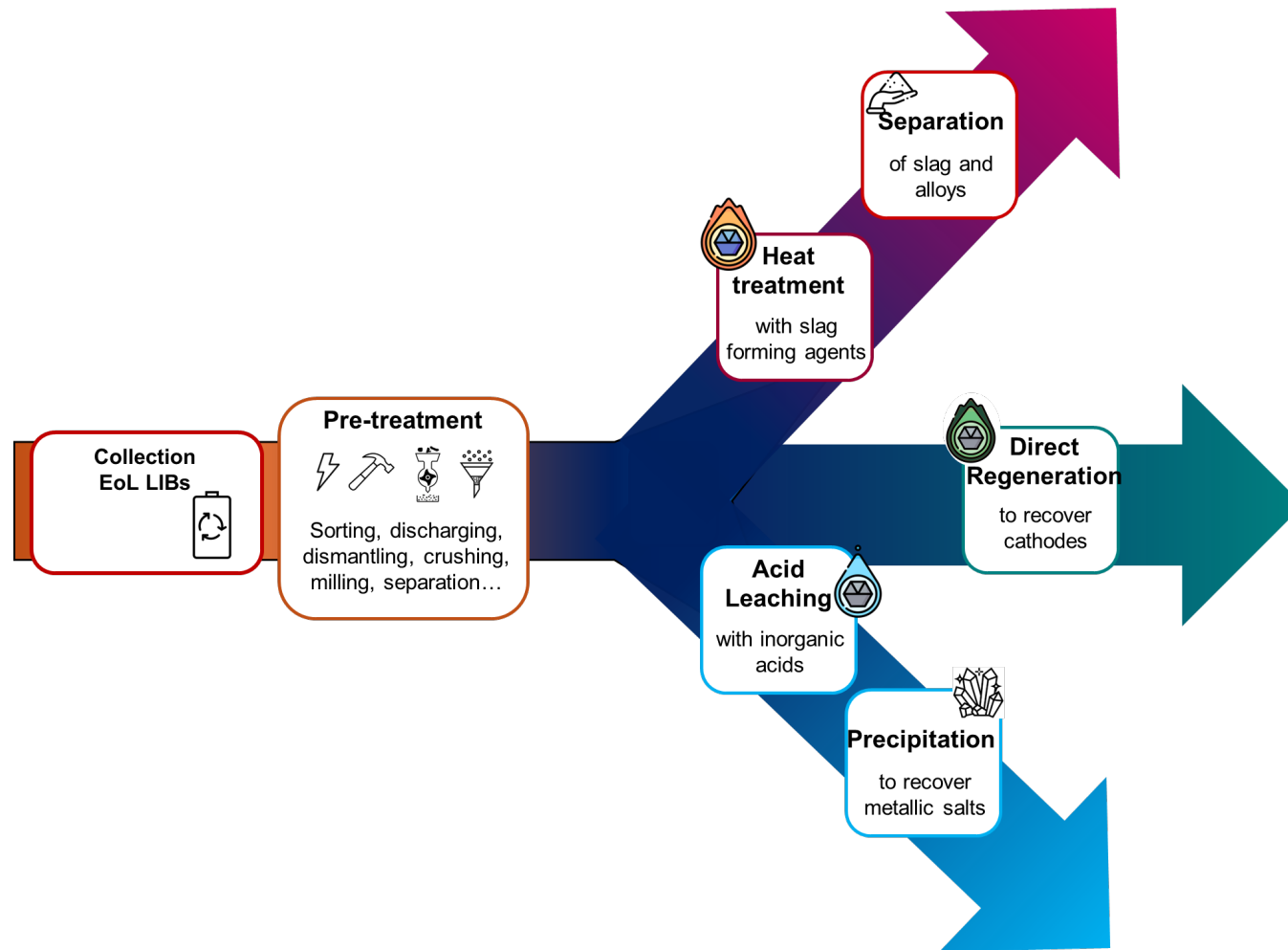
### Direct Recycling

- Low impurity tolerance
- High cost of lithium sources and additives
- Safety concerns related to high vapor pressure
- Complicated upscaling



### Hydrometallurgy

- High water consumption
- Acidic wastewater neutralization
- Need of separation and purification techniques
- Emission of polluting gases



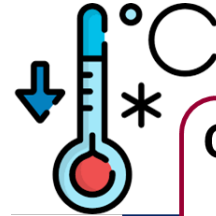
# Recycling as a global opportunity

## Industrial level

### Pyrometallurgy

- High energy consumption ( $T > 1500\text{ }^{\circ}\text{C}$ )
- Loss of lithium
- Low purity (metal alloy)
- Emission of polluting gases

## Research level



### Carbothermic reduction

with reducing agents or changing the atmosphere



### Microwave assisted treatments

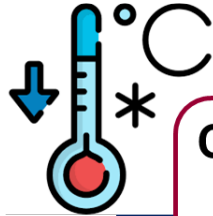
to lower the time of the treatment



### Additive-assisted roasting

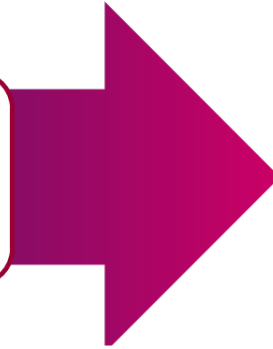
to produce metal salts readily soluble in water

## Research level



### Carbothermic reduction

with reducing agents or  
changing the atmosphere



↓ operating temperature:

↓ energy consumption

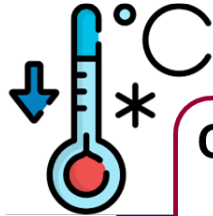
↑ lithium recovery

Tunable process

Implementable and scalable process

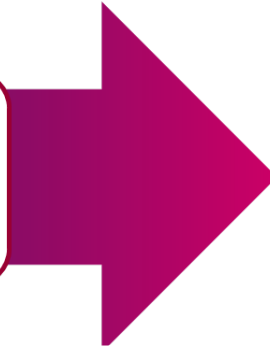
# Carbothermic reduction @UNIMIB

## Research level



### Carbothermic reduction

with reducing agents or  
changing the atmosphere



↓ operating temperature:

↓ energy consumption

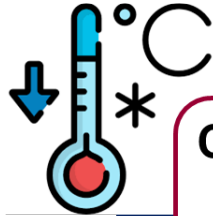
↑ lithium recovery

**Tunable process**

Implementable and scalable process

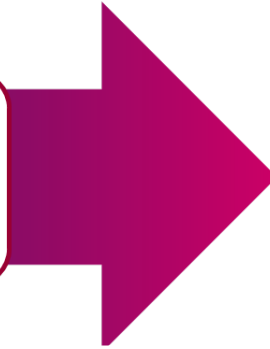
# Carbothermic reduction @UNIMIB

## Research level



### Carbothermic reduction

with reducing agents or  
changing the atmosphere



↓ operating temperature:

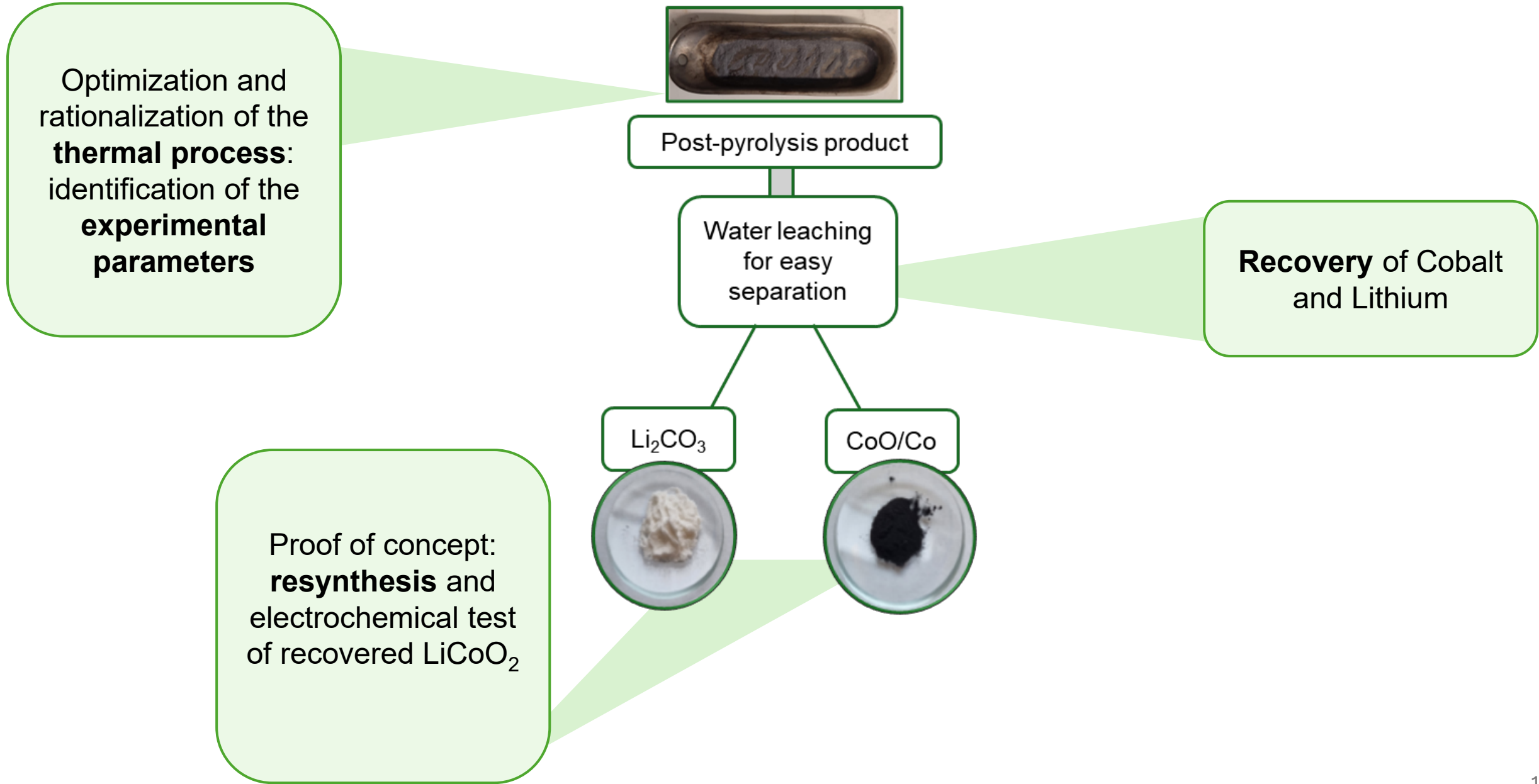
↓ energy consumption

↑ lithium recovery

Tunable process

**Implementable and scalable process**

# Carbothermic reduction @UNIMIB



# Identification of the experimental parameters

Parameters explored:

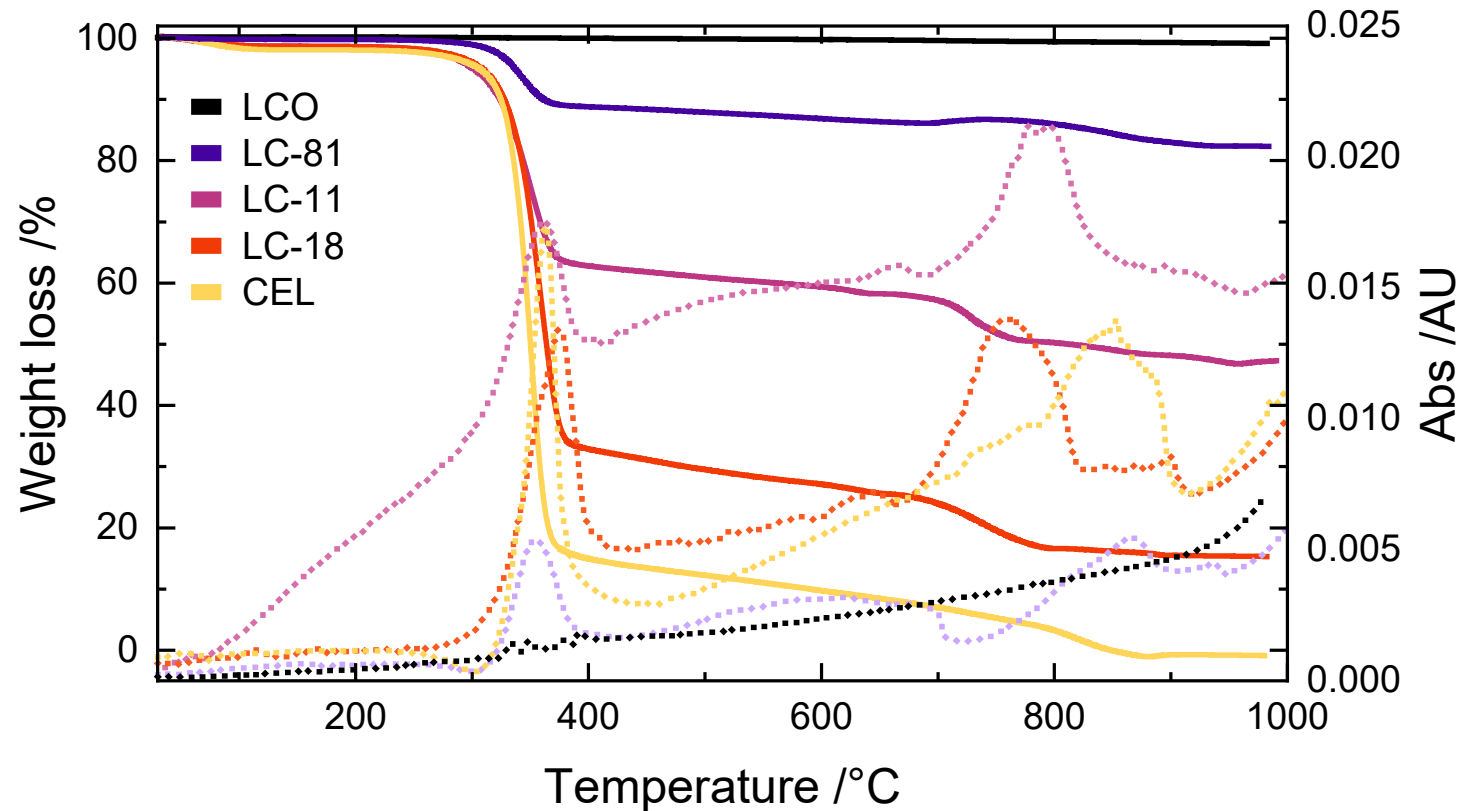
- ✓ LiCoO<sub>2</sub>-to-cellulose weight ratio
- ✓ Temperature
- ✓ Holding time

biowaste/carbon source	cathode	experimental conditions	Ni/Mn/Co % recovery	Li % recovery	total cellulose content
pine sawdust	LCO	vacuum pyrolysis 2 h @ 400 °C	97%	94%	~70%
wheat straw	LCO	pyrolysis 40 min @ 1000 °C (not optimized)			50–60%
bamboo sawdust	NMC-111	roasting under N <sub>2</sub> 4 h @ 600 °C/800 °C	93–99	86–99	40–55%
macadamia shell	NMC-111	microwave assisted, 30 min @ 500 °C + 25 min @ 750 °C	mixed Ni/Co/Mn as hydroxide	93.4	~60%
bean dregs	NMC-523	pyrolysis 40 min @ 700 °C	93.78%	93.78%	70–80%

# Identification of the experimental parameters

Parameters explored:

- ✓ LiCoO<sub>2</sub>-to-cellulose weight ratio
- ✓ Temperature
- ✓ Holding time



# Identification of the experimental parameters

Parameters explored:

- ✓ LiCoO<sub>2</sub>-to-cellulose weight ratio
- ✓ Temperature
- ✓ Holding time

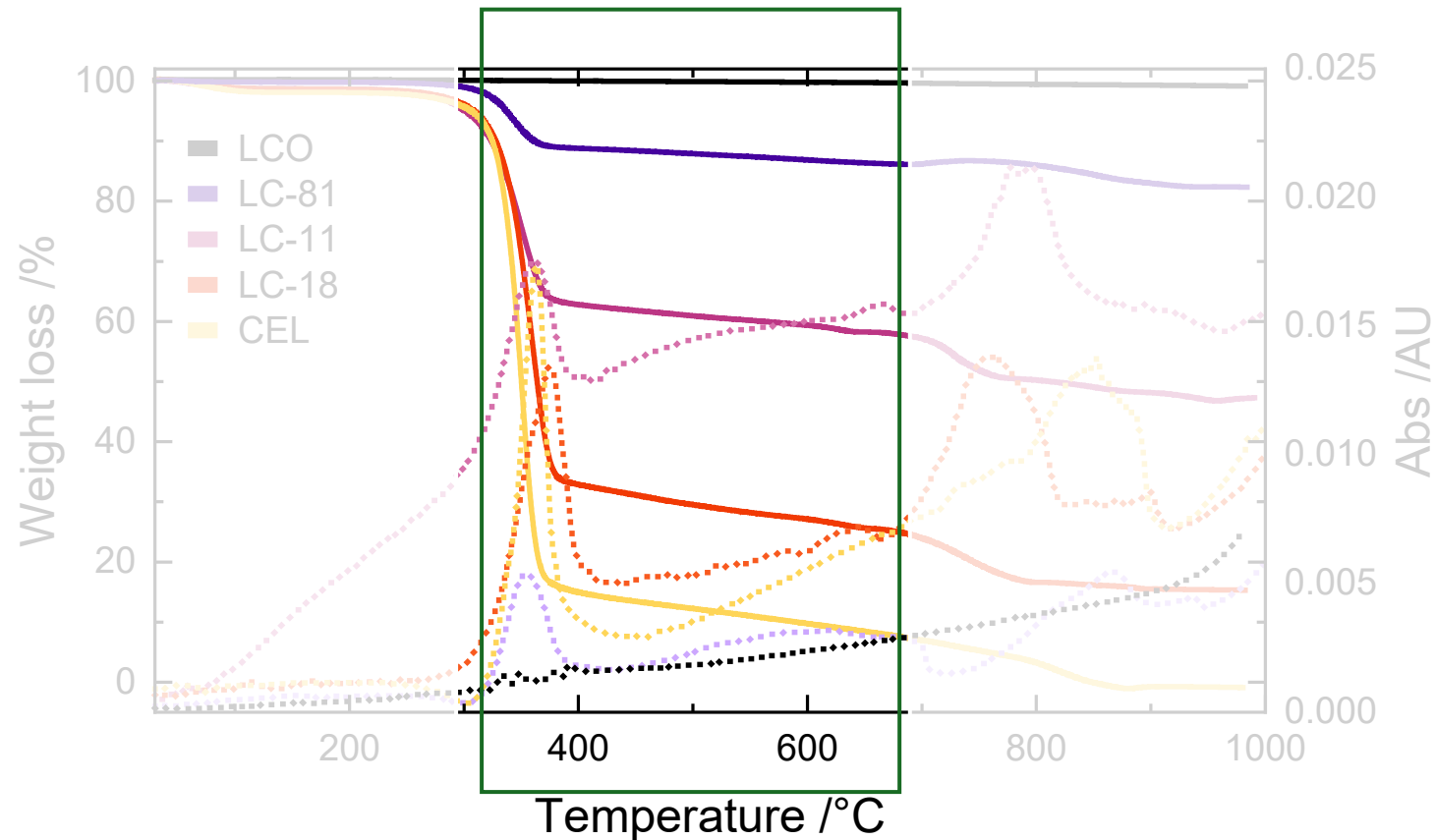
## Carbothermic Reduction Protocol

LiCoO<sub>2</sub>:Cellulose (1:8 – 8:1 w:w)

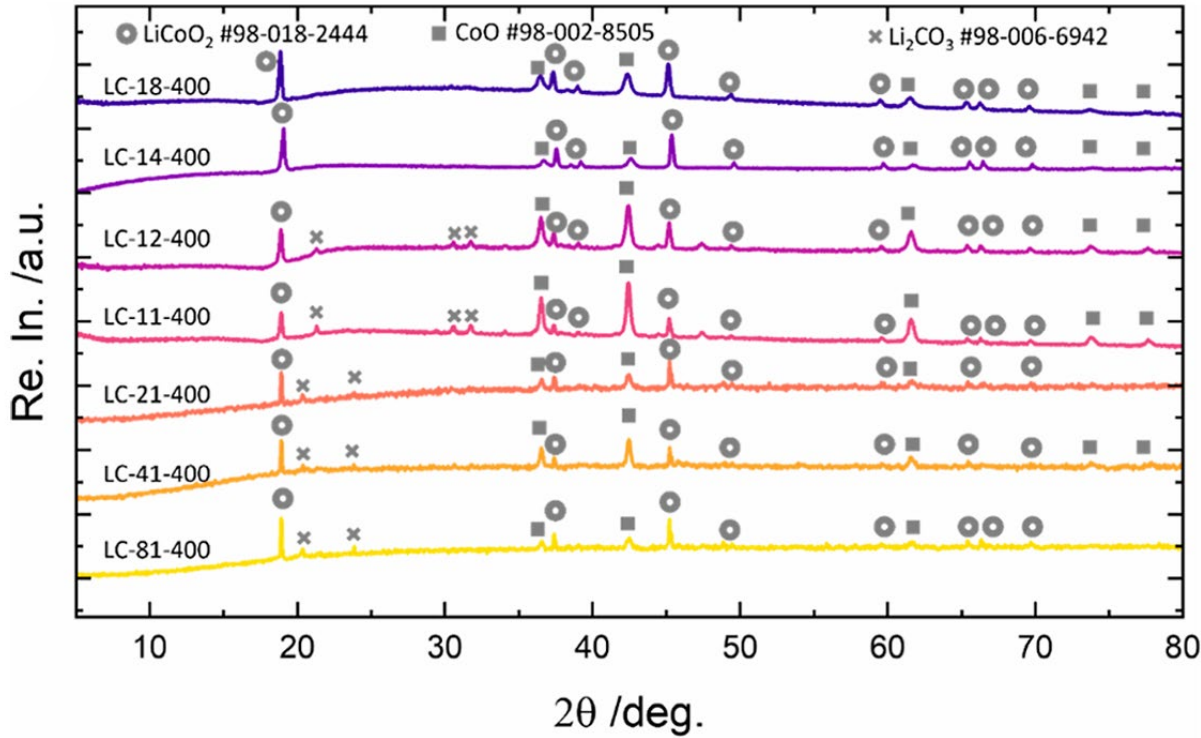
T range: 200 ° - 800 °C

Isotherm time: 30 min – 24 hours

N<sub>2</sub> flux

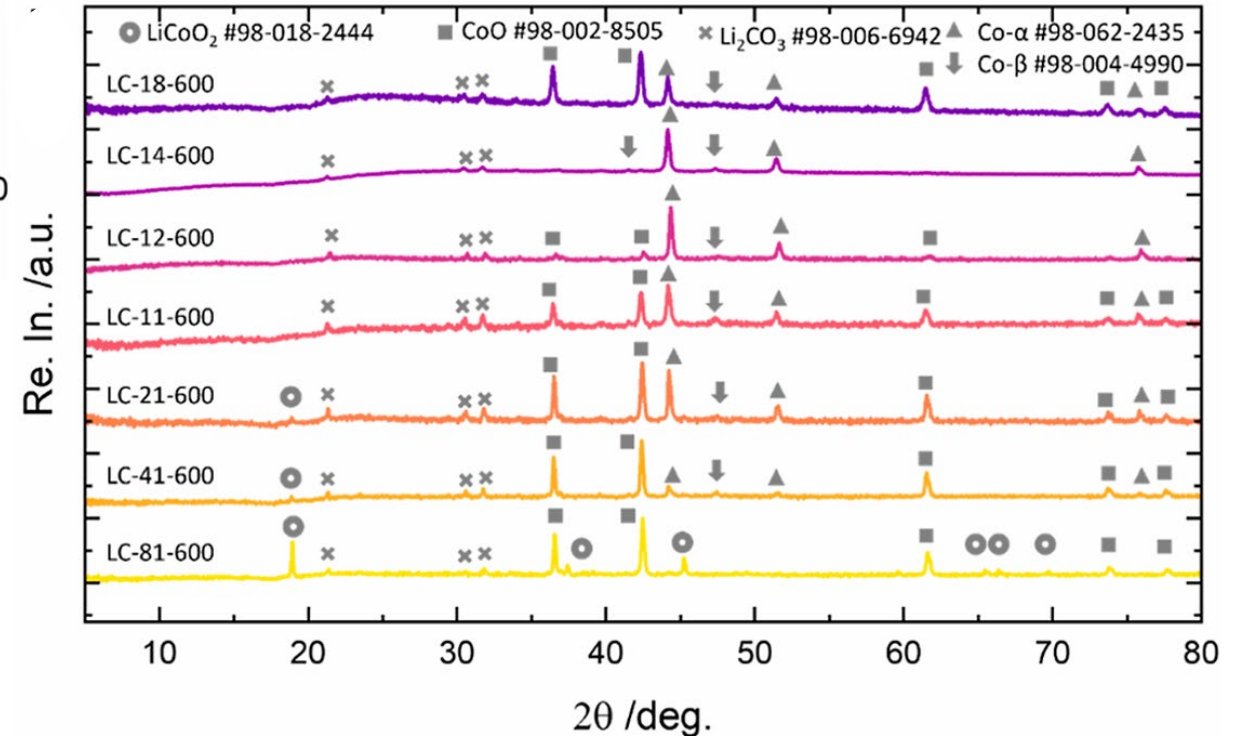


# Identification of the experimental parameters

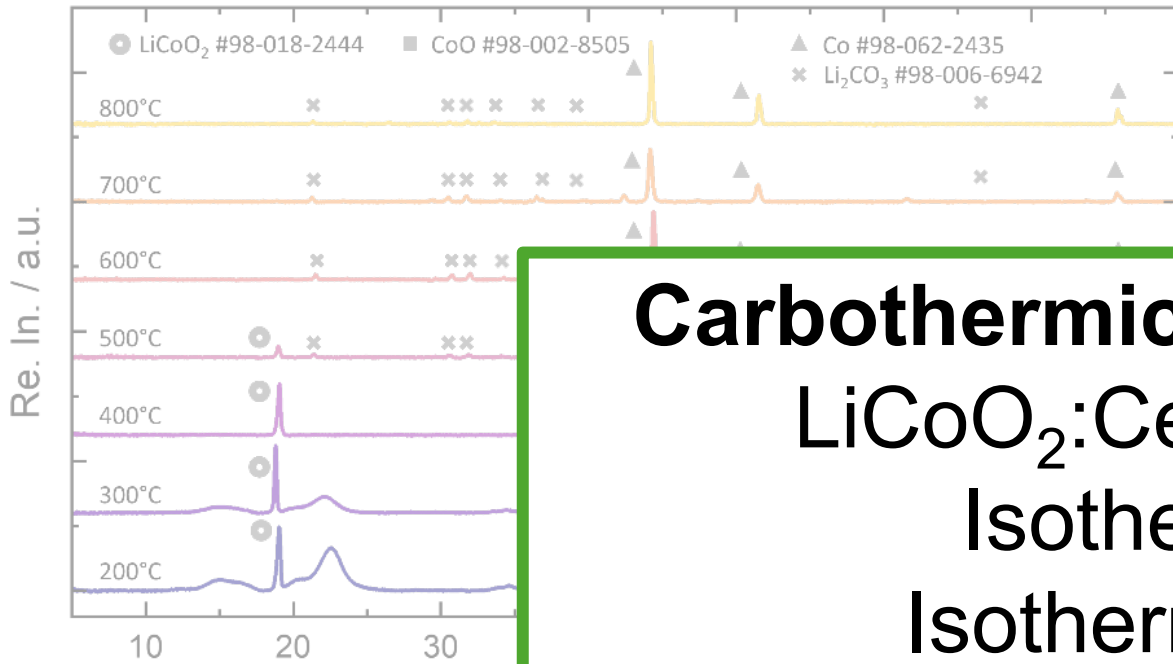


LiCoO<sub>2</sub>:cellulose (XY), 1 hour holding time  
 ✓ 400°C is not sufficient to completely reduce LCO

LiCoO<sub>2</sub>:cellulose (XY), 1 hour holding time  
 ✓ Variable CoO/Co ratio, tunable with starting XY composition → 1:4 only Co



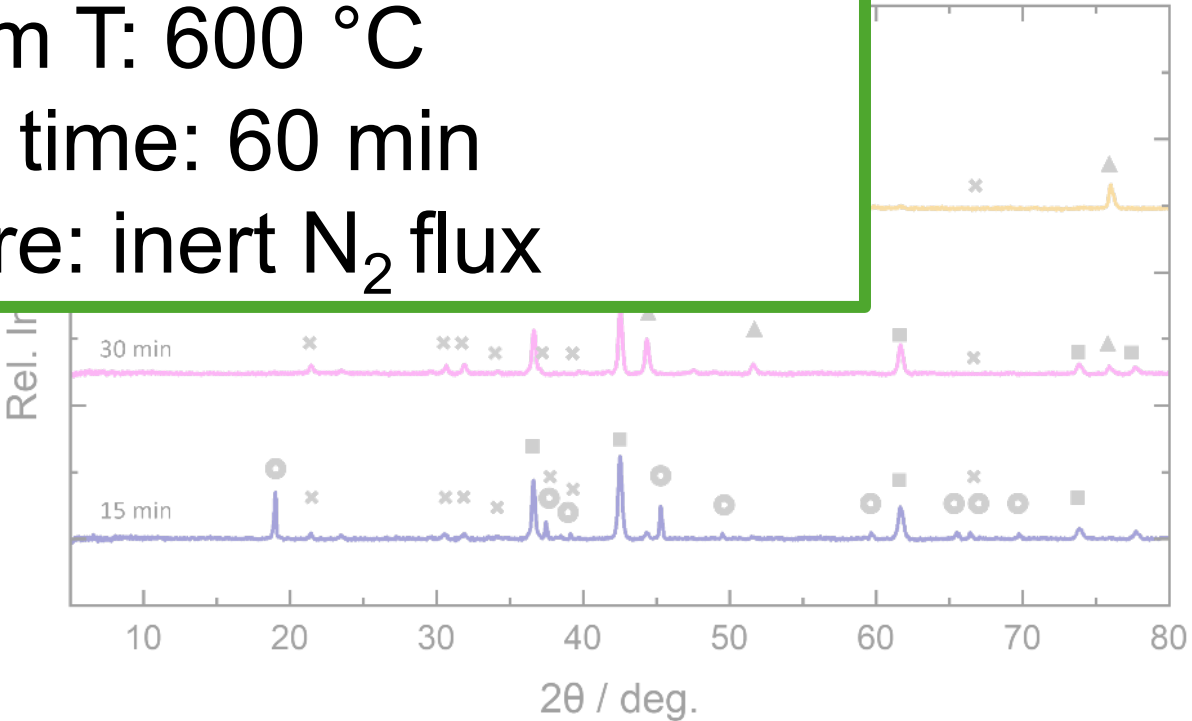
# Identification of the experimental parameters



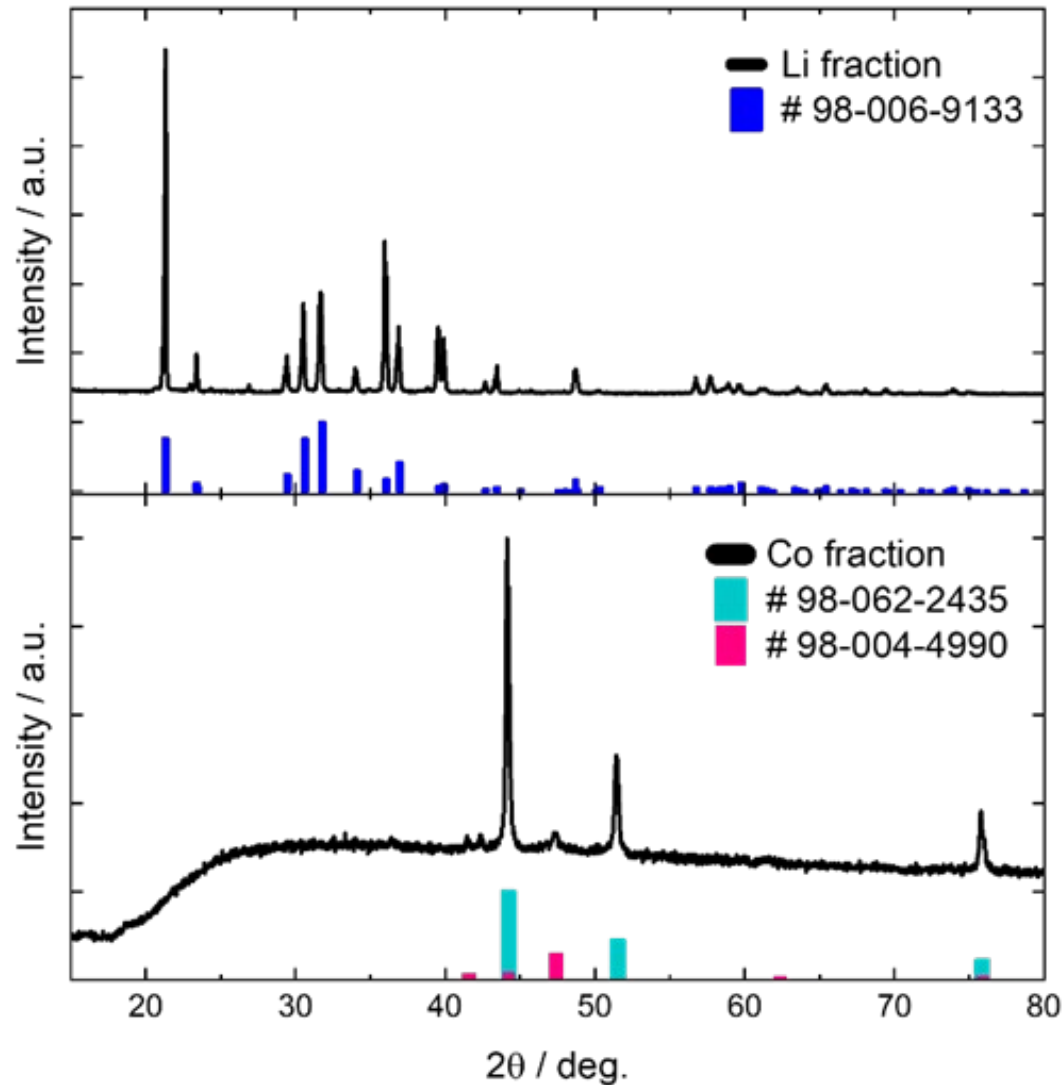
LiCoO<sub>2</sub>:cellulose (1:4), 1 hour holding time  
✓ 600°C as the lowest T to have only one compound of Co

**Carbothermic Reduction Protocol**  
**LiCoO<sub>2</sub>:Cellulose (1:4 w:w)**  
**Isotherm T: 600 °C**  
**Isotherm time: 60 min**  
**Atmosphere: inert N<sub>2</sub> flux**

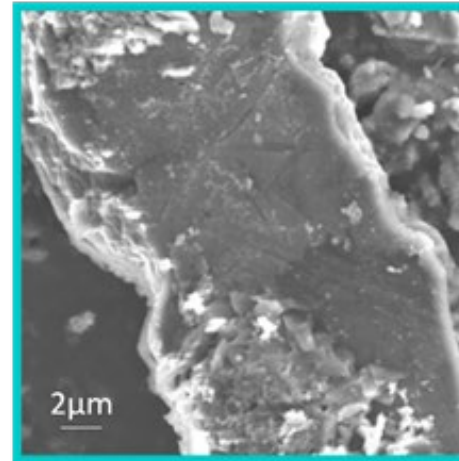
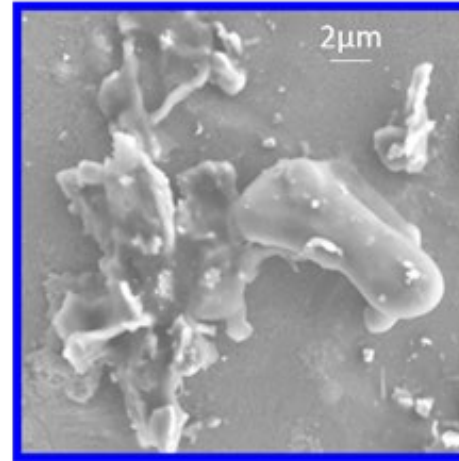
LiCoO<sub>2</sub>:cellulose (1:4), 600°C  
✓ 60 min as the lowest holding time to have only one compound of Co



# Recovery of Co and Li



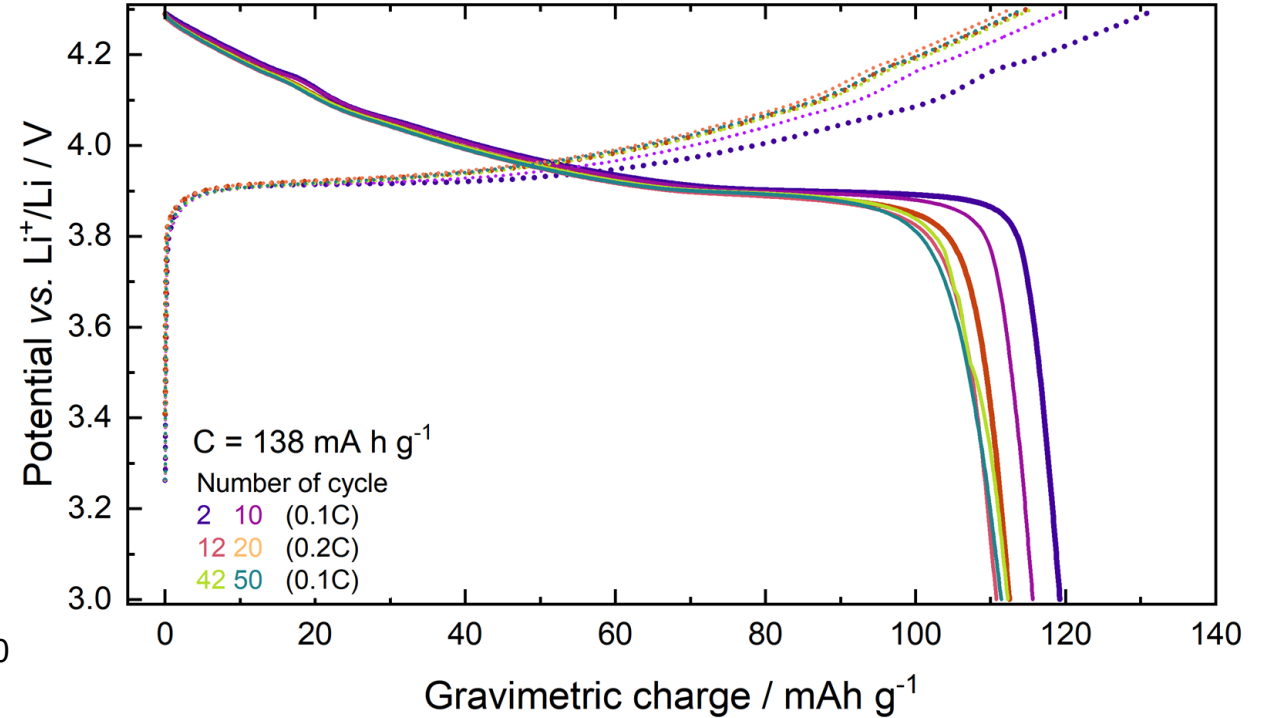
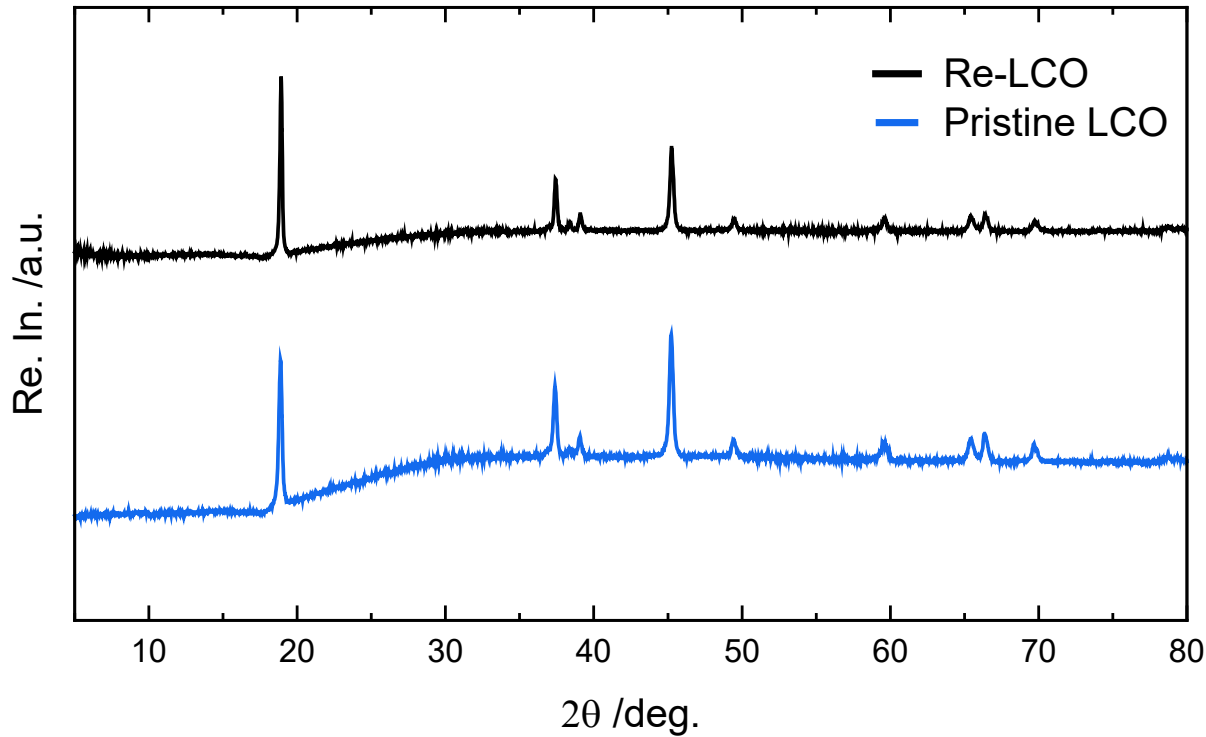
2 $\mu$ m



- ✓ Mixture of Co/CoO and  $\text{Li}_2\text{CO}_3$  separable with water leaching
- ✓ Recovery of CoO and  $\text{Li}_2\text{CO}_3$  (yields > 70%, purity >95%, cross-contamination <1%)

# Proof of concept: LCO resynthesis

✓ Proof of concept of the closed-loop recycling scheme



Resynthesis conditions 800°C, 60 min  
Reagents molar ratio Co:Li = 1:1.1



- 1** Study a carbothermic reduction system with effective biowaste materials (potato peels, 40% cellulose), exploring different parameters: mass ratio, temperature, time of isotherm
- 2** Expansion of the study to other CRMs-rich cathodes e.g. NMC type
- 3** In-situ diffraction study in temperature control of the process in order to track the process (November 2024)



- 1** Study a carbothermic reduction system with effective biowaste materials (potato peels, 40% cellulose), exploring different parameters: mass ratio, temperature, time of isotherm)
- 2** Expansion of the study to other CRMs-rich cathodes e.g. NMC type
- 3** In-situ diffraction study in temperature control of the process in order to track the process (November 2024)

# Next steps



1

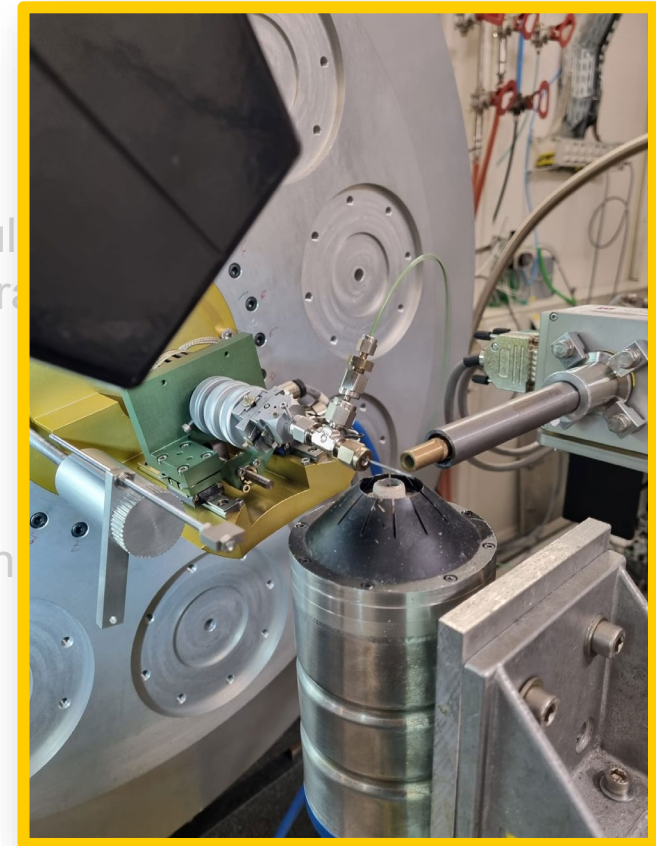
Study a carbothermic reduction system of biowaste materials (potato peels, 40% cellulose) at different parameters: mass ratio, temperature, isotherm)

2

Expansion of the study to other CRMs-rich materials (NMC type)

3

In-situ diffraction study in temperature control of the process in order to track the process @ID22 - November 2024



**energy&fuels**

pubs.acs.org/EF

Article

## Low-Temperature Carbothermic Reduction for Recycling $\text{LiCoO}_2$ for the Recovery of Critical Raw Materials: The Role of Cellulose

Published as part of *Energy & Fuels special issue "2024 Energy and Fuels Rising Stars"*.

Eleonora Carena, Dario Brambilla, Matteo Vergani, Riccardo Morina, Nicolò Pianta, and Chiara Ferrara\*



# Thank you!

Eleonora Carena

e.carena1@campus.unimib.it

