

1 **TITLEPAGE**

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3 A World-wide Prevalence Study on Delirium Assessments and Presence of Protocols

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5 **RUNNING TITLE**

6 Delirium Assessments and Protocols

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 69 collaborators. All of these gave consent to be named and indexed to the publication.
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 74
 75 FUNDING: none
 76 DATA SHARING: we share data on reasonable request
 77 CONTRIBUTIONS: All authors have made substantial contributions: PN, KL, GB, JB, MB, GC,
 78 CRC, ME, MG, GH, MH, KK, TM, AM, RKN, ESO, MOC, MCP, HL, RH made substantial
 79 contributions to (1) the conception and design of the study, acquisition of data, or analysis and
 80 interpretation of data; PN, KL, GB, JB, MB, GC, CRC, ME, MG, GH, MH, KK, TM, AM, RKN,
 81 ESO, MOC, MCP, HL, RH made substantial contributions to (2) drafting the article or revising it
 82 critically for important intellectual content; PN, KL, GB, JB, MB, GC, CRC, ME, MG, GH, MH,
 83 KK, TM, AM, RKN, ESO, MOC, MCP, HL, RH made substantial contributions to (3) final
 84 approval of the version to be submitted.
 85
 86 **Manuscript data**
 87 Word Limit: 2,879 / 3,500
 88 Abstract: 243 / 275
 89 Figures & Tables: 6/4-6
 90 References: 78/75
 91 Supplement: 1

92 Reporting guideline: STROBE
93 IRB: yes, reported in section methods
94 Registration: yes, reported in section methods
95 Study Protocol: available on www.wdad-study.center
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97 **A World-wide Prevalence Study on Delirium Assessments and Presence of Protocols**

98

99 **ABSTRACT**

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101 BACKGROUND

102 The worldwide extend of delirium management protocols is unknown.

103

104 OBJECTIVE

105 To assess the impact of delirium management protocols on delirium-related care.

106

107 DESIGN

108 Worldwide one-day point prevalence study.

109

110 SETTING

111 Crossectional online survey including hospitals, rehabilitation facilities, and nursing homes.

112

113 PATIENTS

114 Patients of all age groups, assessable for delirium.

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116 INTERVENTIONS

117 None.

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119 MEASUREMENTS

120 Participating clinicians reported the prevalence of delirium, use of validated assessment tools, ward level
121 data the presence of protocols, delirium-awareness interventions, non-pharmacological and
122 pharmacological interventions, and ward/unit-specific barriers.

123

124 RESULTS

125 Data from 44 countries, 1,664 wards/units, and 36,048 patients were analyzed. Validated delirium
126 assessments were used in 66.7% (n=1,110) of wards/units,18.6% (n=310) used personal judgement or
127 no assessment, and 10% (n=166) used other assessment methods. Using validated delirium

assessments, 42.9% (n=15,458) of patients were assessed, of which were delirium positive in 18% (n=2,788/15,458) in the morning and in 17.7% (n=2,454/13,860) in the evening. A delirium management protocol was reported in 66.8% (n=1.094) of wards/units; these wards/units were more likely to report the use of a valid delirium assessment by Odds Ratio (OR) 6.97 (95%Confidence Intervall(CI) 5.289-9.185) along with 14 other delirium-related factors.

133

LIMITATIONS

Clinicians were recruited in a snowball-system, delirium data were self-reported by clinicians, and others.

136

CONCLUSION

Wards/units that reported the presence of delirium management protocols had a higher probability of using validated delirium assessments tools to assess for delirium. The presence of delirium management proctocols also increased the likelihood of evidence-based non-pharmacological and pharmacological interventions for delirium.

142

PRIMARY FUNDING SOURCE

None.

145

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Keywords: Assessments; Delirium; Encephalopathy; Patient safety; Protocols; Quality improvement

149 **BACKGROUND**

150 Delirium is a clinical syndrome produced by an acute encephalopathy and characterized by an
151 abrupt onset, fluctuation, deficits in attention, and other cognitive impairments (1). Delirium is
152 precipitated by one or more physical disorders, interventions or medications (2). Delirium causes are
153 manifold and result from predisposing and triggering factors (3, 4). It is a common complication of
154 patients in hospitals, affecting up to 23% of older patients on medical wards, 25% of those who had a
155 stroke, 32% in intensive care units (ICU), 70% with mechanically ventilation (MV), and 88% in palliative
156 units (5, 6). Consequences of delirium are plentiful and follow a dose-response relationship including a
157 risk of increased hospital length of stay, increased mortality, impaired rehabilitation, permanent cognitive
158 disturbances, institutionalization, and costs and burden for caregivers (7).

159 The management of delirium including assessment, prevention, treatment, and interprofessional
160 collaboration has developed over many years (8), leading to complex and highly effective prevention
161 concepts such as the ABCDEF bundle in critical care or the HELP program for older patients on wards
162 (9-11). However, clinical implementation of delirium management still remains challenging, due to
163 barriers such as lack of time and staff, missing interprofessional collaboration, lack of knowledge, and
164 others (12, 13). Also routine assessment of delirium is heterogenous implemented and ranges from 18%
165 to over 90% (12, 14-32).

166 The Covid-19 crisis stressed the healthcare system and the delivery of evidence-based delirium
167 management (33-35). Delirium management was difficult to deliver as in previous periods, and the
168 progress that were made in delirium prevention and treatment in the years before the pandemic are
169 suspected to be lost (36, 37). Delirium management needs more awareness and action. The aim of this
170 study was a worldwide delirium prevalence study on the World Delirium Awareness Day on March 15th
171 2023, to determine factors associated with increased use of validated delirium assessment tools and
172 presence of delirium management protocols. In addition, we sought to determine whether the presence
173 of delirium management protocols was associated with differences in non-pharmacological and
174 pharmacological preventions and treatments, and delirium-related barriers.

175

176 **METHODS**

177 This is the secondary analysis of a worldwide, cross-sectional, one-day point prevalence study on
178 World Delirium Awareness Day (WDAD) March 15th 2023 (38). The study was approved by the

179 Institutional Review Board of the University Mannheim (2022-617) and registered by the German Clinical
180 Trials Register (DRKS00030002, <https://drks.de/search/de/trial/DRKS00030002>). The report of this study
181 is in line with the Strengthening the Reporting of Observational Studies in Epidemiology STROBE
182 Statement(39) (Supplement Table E3).

183

184 **Design**

185 This was a worldwide prevalence study on delirium and related structures and processes. Details
186 of this study can be read elsewhere (38). Participating clinicians from hospitals, rehabilitation facilities, or
187 nursing homes and other, reported the number of delirious and non-delirious patients in their wards/units
188 on WDAD, March 15th 2023, at 8.00 a.m. in the morning and 8.00 p.m. in the evening. We did not collect
189 any specific patient level data, since risk factors have been researched extensively and we focused on
190 assessment and implementation (40). This study was planned as an anonymous survey to enable a high
191 rate of participation. Data collected included numbers of patients, types of delirium assessments,
192 presence of delirium management protocols, involved clinicians, delirium awareness activities, non-
193 pharmacological and pharmacological interventions, and ward/unit specific barriers.

194 The four Principal Investigators (PIs) [PN, KL, HL, RH] launched a website (www.wdad-study.center),
195 uploaded the study documents, and recruited clinicians. National coordinators distributed the survey in
196 their countries, recruited local clinicians from single/multiple wards/units, and took care of national
197 regulations. The PIs held frequent online meetings to train national coordinators, share and translate
198 documents, and coordinate the study. There was no external funding for this study.

199

200 **Setting and population**

201 Participating clinicians were leading physicians, nurses, or their representatives from single or
202 multiple wards/units. All in-patients in acute hospitals on different levels, rehabilitation facilities, weaning
203 centers, palliative centers, or nursing homes were included. Included patients were of all age groups,
204 from all disciplines, and all types of wards/units, including Emergency Departments (ED), Intensive Care
205 Units (ICU), High Acuity Units, Intermediate Care Units (IMC), and general wards. Excluded were
206 patients from the anesthesia/operation theatre, home care, and ambulatory care. Recruitment of
207 participants was performed via professional organizations, personal and social networks.

208

209 **Data collection**

210 The survey covered fourteen sections containing 39 questions about the country,
211 sociodemographic data of participants, hospitals, and ward/unit specific data. Further questions were
212 about the presence of delirium-related protocols; delirium awareness interventions such as posters,
213 lectures, providing pocket cards, and others; non-pharmacological interventions for prevention and
214 therapy applied for >50% of delirious patients; pharmacological interventions applied for >50% of
215 delirious patients; pharmacological management; and barriers against sufficient delirium management.
216 For assessing delirium prevalence, participants reported delirium assessments such as validated
217 assessment (out of a list of 21 assessments, e.g. Confusion Assessment Method, 4AT, and others), no
218 or subjective assessments, or other assessments; frequency of assessment; assessing profession;
219 delirium prevalence at 8.00 a.m. in the morning and 8.00 p.m. in the evening. To enhance data collection
220 in this worldwide survey, participants reported the number of present patients on the ward/unit at both
221 time points, the number of non-delirious and delirious patients.

222

223 **Statistical analysis**

224 Nominal data are frequency (n) and percentage (%). Metrical, normally distributed data were
225 reported as mean and standard deviation, non-normally distributed data as the median and interquartile
226 range (IQR). Ordinal data are reported in its modus. Inferential tests were conducted using Chi-squared
227 test, with a doubled-sided $p < 0.05$.

228 For calculation of the probability of using validated delirium assessments, Odds Ratio (OR) and
229 95% Confidence Intervals (95%CI) were calculated by χ^2 , to analyze factors related to a dichotomous
230 variable with a) use of any valid assessments vs. b) non assessment or personal judgement; and to
231 analyze factors associated with the presence of delirium management protocols. We analyzed
232 differences in delirium prevalence, pharmacological and non-pharmacological interventions,
233 management, and barriers. Multicollinearity was assessed by calculation of variance inflation factor
234 (VIF), with $VIF > 10$ indicating considerable multicollinearity (41). Missing data are reported.
235 The analysis was carried out with IBM SPSS Statistics for Windows 27 (IBM Corp., Armonk, New York,
236 USA).

237

238

239 **RESULTS**

240 Data from 44 countries (all continents, n=1,664 wards/units, n=36,048 patients) were collected.
241 Validated assessments were used in 66.7% (n=1,110) of wards/units. In wards/units reporting the use of
242 validated delirium assessments, 42.9% (n=15,458) of patients were assessed, of which were delirium
243 positive in 18% (n=2,788/15,458) in the morning and in 17.7% (n=2,454/13,860) in the evening. The top-
244 five reported delirium assessments were CAM-ICU (n=335 (20.1%)), 4AT (n=317 (19.1%)), CAM (n=150
245 (9.0%)), Intensive Care Delirium Screening Checklist (n=90 (5.4%)), and personal judgement/no
246 assessments (n=373 (22.4%)), with a significant different use in the type of wards/units (p<0.001)
247 (Figure 1, and supplement Table E1, Table E2). Out of all 1,664 wards/units, 66.8% (n=1,094) reported
248 use of a protocol for delirium management

249 **The reported use of validated delirium assessment tool**

250 Of all wards/units, 66.7% (n=1110) used 18 different validated delirium assessment tools, 18.6%
251 (n=310) used personal judgement or no assessments, and 10% (n=166) used other assessments, which
252 was a mix of other valid delirium assessment methods under special circumstances (i.e., Supplement
253 Table E1). The latter group was excluded from this analysis and compared valid assessments vs.
254 personal judgement or no assessments.

255 The wards/units that reported the presence of a delirium management protocol were significantly
256 more likely to also report the use of a valid delirium assessment tool compared to no or subjective
257 assessment methods (OR 6.97, [95%CI 5.289, 9.185]), Figure 2. Upon examining this relationship
258 further, the presence of protocols for delirium management vs. no protocols showed a significant
259 association with the use of validated delirium assessments [89.5% (853/953) vs. 55% (257/467),
260 p<0.001]. There was no multicollinearity between the presence of delirium protocol and the use of valid
261 delirium assessment or other variables (VIF < 10). In wards/units using validated assessments, the
262 reported presence of a protocol for delirium management vs no protocol had no significant impact on the
263 reported rate of delirious patients in the morning [12.5% (0% to 32%) vs. 11.1% (0% to 33.3%), p=0.707]
264 or in the evening [13.8% (0% to 30%) vs. 11.1% (0% vs. 37.5%), p=0.681].

265 Additional reported characteristics that increased the likelihood of assessment with a validated
266 tool included the presence of a protocol for a daily wake-up trials (specific to the ICU) (OR 3.7 (95%CI
267 2.273 to 6.024), p<0.0001), at least one education training during the last year about delirium (OR 3.57

(95%CI 2.698 to 4.729), $p<0.0001$), reporting and communication of delirium screening rate (OR 3.52 (95%CI 2.458 to 5.031), $p<0.0001$), and others (Figure 2).

Reported presence of a delirium management protocol

The reported presence of a protocol for delirium management was significantly associated with multiple non-pharmacological and pharmacological interventions, management, and reported barriers. These are outlined below.

Multiple non-pharmacological delirium interventions such as presence of trained delirium experts (OR 3.4; 95%CI 2.2 to 5.3), informing patients about delirium (OR 3.3; 95%CI 2.6 to 4.2), or less physical restraints (OR 0.5; 95%CI 0.4 to 0.6), and others (Figure 3);

Less use of pharmacological interventions such as haloperidol (OR 0.680, 95%CI 0.555 to 0.834) or diazepam (OR 0.590, 95%CI 0.443 to 0.786), and increased strategy of reducing delirogenic drugs (OR 2.025, 95%CI 1.598 to 2.567) or evaluation by a specialist (OR 2.924, 95%CI 2.239 to 3.819), and others (Figure 4);

Different pharmacological management such as treating specific symptoms of patients (OR 2.7; 95%CI 2.2 to 3.4), discussion with patients in most cases (OR 2.6; 95%CI 1.9 to 3.6), and includes recommendations for withdrawal of deliriogenic drugs (OR 1.9; 95%CI 1.6 to 2.5), and others (Figure 5);

Different reported barriers in wards/unit with present protocol. Having a protocol for delirium management is associated with higher odds of reporting less barriers and more frequent delirium assessments (OR 2.3; 95%CI 1.6 to 3.5), but also barriers such as shortage of staff (OR 1.6; 95%CI 1.3 to 2.0), or having patients difficult to assess (OR 1.6; 95%CI 1.278 to 2.004), and others (Figure 6).

DISCUSSION

In this worldwide, one-day point delirium prevalence study on World Delirium Awareness Day March 15th 2023, including data from 44 countries, over 1,600 wards/units and 36,000 patients, validated delirium tools were used in two thirds of wards/units. The use of validated assessments was strongly associated with the reported presence of a protocol for delirium management. On wards/units that reported the presence of a protocol for delirium management were more likely to also report evidence-based non-pharmacological delirium interventions, improved use and management of pharmacological interventions, and different barriers towards delirium management.

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Use of validated delirium assessments

In total, clinicians from two thirds of participating wards/units reported using validated delirium assessment tools for delirium. The use of validated delirium assessment tools compared to personal judgement inceases the chances for identifying delirium and initiating appropriate treatment(42). Previous studies found routine clinical screening rates with validated assessments between 10% and 66% and our survey is in line with these data (12, 16, 29, 30, 35, 43-45). We found several factors associated with the increased use of validated delirium assessment tools including the presence of a protocol for delirium management, delirium education, reporting the delirium rate back to teams, presence of delirium experts, and resources such as posters, pocket cards, and others. These factors were also used and reported in implementation projects and studies, e.g., for implementing delirium management bundles, increasing the use of validated assessments up to >90% (24, 46-49). Hence, these reported factors may serve as facilitators for increasing and sustaining the delirium assessment rates in clinicial practice. Other important factors may be national Delirium Clinical Care Standards or guidelines that encourage systematic delirium care (45) The heterogeneity in the use of validated instruments for diagnosing delirium in the present study might be explained by several factors such as lack of consensus for the best validated instrument in all health care settings (50); varying resource availability in terms of training, staff, monitoring, or equipment (51-53); specific patient populations or clinical scenarios such as pediatric vs geriatric assessments or acute vs. long term care (28, 54, 55); differences across disciplines, cultures, and nations (56); and implementation challenges such as interprofessional cooperation, time constraints, competing clinical priorities, and workflow considerations, which may impact the selection and consistent use of assessments, too (29, 47, 57). Future studies should explore the impact of these facilitating factors, for identifying appropriate strategies for better implementation.

Routine monitoring of delirium in hospitalized patients reduces the in-hospital mortality, likely due to the early detection of ongoing pathological processes, leading to early brain failure, detection, and further treatments of underlying conditions (42). Hence, frequent screening of delirium with validated assessments is an essential, potentially life-saving procedure (5).

The goal of the clinician-initiated event of the WDAD targets delirium awareness and aims to increase the use of validated delirium assessments in practice. We hope that our project will contribute

328 to the increasing delirium-awareness and support clinicians in the use of validated assessments. This
329 project will be repeated in subsequent years, and can provide a baseline for all researchers and
330 clinicians who implement validated delirium assessment tools to evaluate progress.

331

332 **Delirium protocols**

333 Protocols for delirium management were present on two-thirds of participating wards/units. This is
334 similar to other multinational studies, ranging between 35-71% (29, 44, 55, 58). Implementation of
335 protocols usually increases quality of care and improves related outcomes (59). In our study we could
336 not find significant differences in delirium prevalence in wards/units with vs. without delirium
337 management protocols. This lack of difference may be explained by the use of a validated delirium
338 assessment tool. In units that use a validated method, an increased percentage of delirious patients who
339 are identified by clinicians by validated assessments and who may have been without valid
340 assessments, unless these patients receive appropriate treatment (60-64); contrary, the implementation
341 of delirium protocols and its related prevention measures might have led to a decreased percentage of
342 patients becoming delirious (46, 65-67). Future studies should focus on further examining these factors
343 and associated interactions to increase understanding.

344 In this worldwide prevalence study, delirium protocols were strongly associated with improved
345 non-pharmacological interventions, improved use and management of pharmacological interventions,
346 and different barriers against delirium management. These aspects may serve as indicators for future
347 quality improvement projects, such as mandatory delirium teaching in academic courses of physicians
348 and nurses. The presence of delirium protocols was associated with increased chances of informing
349 patients about delirium (indicating a more patient-centered approach (68)), less physical restraints
350 (indicating improved prevention and education (69-71)), less use of haloperidol and diazepam (indicating
351 changing evidence for pharmacological agents (72-74)), reducing delirogenic drugs and based on
352 individual symptoms (indicating improved pharmacological management (75)), recommendations for
353 withdrawal of drugs related to delirium treatment (indicating avoidance of discharge of patients with
354 continuing on these medications (76, 77)), and finally different barriers such as having lack of
355 awareness, missing knowledge or not knowing appropriate pharmacological interventions, indicating
356 likely cultural changes (12). These factors might have been part of the delirium management protocols
357 as guidelines recommend it. The presence of delirium protocols might indicate an improved quality of

358 care and a cultural shift to a more evidence-based treatment and personalized care in line with current
359 guidelines and recommendations (68, 78, 79).

360

361 **Strength and Limitations**

362 There are several limitations. First, due to answers in this survey were given by participating
363 clinicians and were not verified externally by research assistants; contrary there were no incentives for
364 best delirium care and the bias might be considerable. Second, we tested relationships between
365 variables and can exclude a severe multicollinearity, but we did not test subgroups where
366 multicollinearity may exist, e.g. in ICU or nursing home facilities; future analyses will allow to estimate the
367 effect of this bias. Third, using the design of a one-day point prevalence study does only allow to analyze
368 associations between variables, not causation; hence any conclusions about the relationship between
369 variables should be considered with caution.

370 This study also has several strengths. First, it is a very large cross-sectional, worldwide
371 prevalence study that reports the use of delirium-management in different settings and areas in the world
372 and increasing the chances for generalizability of the results. Second, this survey's results can serve as
373 a template for performing future quality improvement projects for delirium management to identify and
374 convince barriers to delirium management. Third, due to the multicentric approach, the bias of local
375 culture can be neglected in this approach, enabling a very general view on delirium management. And
376 fourth, this study likely contributes to the awareness of delirium screening and therefore increased the
377 number of patients that were screened; which is of course also the aim of WDAD.

378

379 **CONCLUSIONS**

380 The use of validated delirium assessment tools are strongly associated with the presence of
381 protocols for delirium-management on wards/units, likely due to the content of protocols including
382 recommendations of assessments. The presence of a protocol for delirium management are associated
383 with improved non-pharmacological delirium interventions such as presence of delirium experts,
384 extended family visiting times, or less physical restraints. The use of delirium protocols improves the
385 management of pharmacological interventions, such as using less haloperidol or diazepam or treating
386 specific symptoms of patients. Barriers against delirium management might change with the
387 implementation of a protocol, e.g. the barrier of lack of knowledge is reduced. The quality of care seems

388 to be more symptom- and patient-centered, indicating a culture change to a humanization of delirium
389 care.

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392

393 **ACKNOWLEDGEMENTS**

394 The authors would like to gratefully acknowledge the following participating clinicians for
395 supporting the 2023 WDAD Study Team and survey: Breel Montaser (**Afghanistan**); Mariana
396 Ojeda; Ana Maria Mazzola; Ana Paula Cirese; Maria Eugenia Teran; Evangelina Pereyra
397 Zamora; Giuliano Gaudenzi; Daniela Olmos; Sanchez Natalia Elizabeth; Mariela Mogadouro;
398 Matias Cattoni; Maria Belen Frate; Monica Quinteros; Miguel Ángel Salas ;Carlos Nahuel Brito
399 (**Argentina**); Barbara Cass; Donna Wheeldon; Emma Ham; Hannah Zhang; Isabelle Brewer;
400 Kate Baptist; Kate Lipson; Myfanwy Guay; Nisha Kuzhuvelil; Sonia Hiam; (**Australia**); Mata
401 Alexander; Patrik Heindl (**Austria**); Barbara Flynn; Brooke Blythe; Christine MacDonald; Dori-
402 Ann Martin; Eric Sy; Eugene E. Mondor; Gabriela Costa; Jennifer Armstrong; Kari France;
403 Katherine Kissel; Kevin Iwaasa; Kirsten Deemer; Natalia Jaworska; Oleksa Rewa; Sebastien
404 Roulier; Shibi George; Susan Anderson; Tanya Mailhot; Trudy Eggleton (**Canada**); Fernando
405 Tirapegui (**Chile**); Ann-Kirstine Hansen; Anne-Dorte Krapper; Anders Hudson Madsen; Anne
406 Højager Nielsen; Anselilka Pedersen; Bianca Bech; Camilla Bekker; Charlotte Riis; Edel
407 Laursen; Eva Laerkner; Janet Froulund Jensen; Karina Jakobsen; Kristine Mildahl Kjaergaard;
408 Laura Krone Larsen; Lene Lehmkuhl; Lone Højlund Kristiansen; Linette Thorn; Marie Damgaard
409 Winther , Maja Søndergaard Nielsen; Martin Schultz; Monica Baunbæk; Mette Gryholt Fenger;
410 Anna Palmen; Pernille Reck Miller; Rikke Salomon; Sally Jakobsen; Sanne Thers Lauritzen;
411 Susanne Fischer; Tina Tang Fredenslund (**Denmark**); Dalia Talaat Ragheb; Ahmed Allam;
412 Ahmed.H.Elmasry; Aiman Al-Touny; Aliae Mohamed Hussein; Ali Wael; Ali Aldarraji; Ahmed
413 Abdelhalim; Bassam Fahmy; Dinah Nabil; Ahmed Abdelrahman; Rana Ahmed Adel; Hossam
414 Mohamed Saad Ali; Islam Galal Sayed; Kyrillos Wassim; Mohamed Ahmed Adel (**Egypt**);
415 Alexander Kaestner; Lisa Fink (**Germany**); Aggeliki Detta; Tsioka Agorista; Anna Efthymiou;

416 Archontia Mahairidou; Artemis Vekrakou; Athanasiou Paraskevi; Christina Lymperatou; Christos
417 Ntiakalis; Konstantinous Kyriakoulis; Eftychia Kourtelessi; Eleni Spiropoulou; Evangelia Michail
418 Michailidou; Evi Tsigou; Gkika Dimitra; Georgios Papathanakos; Ioannis Andrianopoulos;
419 Aikaterini Sakagianni; Aikaterini Katsogianni; Theodora Katsarou; Maria Bolaki; Maria Laskou;
420 Maria Gianiki; Iliana Tryfonidou; Lampros Banos; Maria Panoutsopoulou; Anastasia Zagorianou;
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481 Adnan Alhindi (State of Palestine); Abdalelah Salah Mohammed Hussein; Ibrahim Adel;
482 Abdalsalam Saleh Mohammed Mussa; Essam Eldien Abuobaida; Ibrahim Mutwakil Gamal
483 Ahmed; Lina Sameer; Mariam Alazraa Mohamed; Rashad Abdallah Esmaeel; Mohammed
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485 Siddeg (**Sudan**);Yvonne Kröger; Agnieszka Wysocka; Ana Patricia da Silva Morais; Andrea
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487 Esther Naef; Lilith Stalder;Luca Cioccarì; Nadja Annen ;Natalie Leuenberger; Pascale
488 Schaub; Regula Pfäffli Scheurer; Stephan Dinkler; Samanta Septinus; Sandra Haubner; Sheila
489 Matti; Sibylle Fischbacher; Vlivianne Chanez; Yvonne Seiffert (**Switzerland**); Hala Bakro; Sami
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492 Cara Hammond; Carolyn Green; Claire Sturrock; Conor James McCann; Craig Stewart; Dawn
493 Goodacre; Dervla Carroll; Diane Brisbane; Drew Crooks; Fahed Gareb; Effie Dearden; Eldilla
494 Rizal; Elisabeth Sullivan; Ellie McIntosh; Emma Williamson; Felicity Baines; Fiona Tullo; James
495 Yeado; Matthew Turner; Jamil Marhatta; Jasmine Hart; Jennifer Lonnen; Jiajin Kwong; Katie
496 Murray; Katrina R. Bell; Kirsty Houston; Laura Tous Sampol; Laura Elizabeth Henderson;
497 Lindsay Kenworthy; Martin Cowie;Mary Melville; Pam D'Silva; Rosie Cervera-Jackson; Rachael;
498 Sarah Thomson; Sofia Cuevas-Asturias; Tabitha Brough; Victoria Richmond; Wan Norshuhada
499 Wan Montil; Will Boatman; Zoe Spence (**United Kingdom**); Cynthia Bell; Christina Bohl; Dale

500 M. Needham; Dianne Bettick; Evelyn Ivy Mwangi; Lillian Banchero; Whitney Smith (**United**
501 **States**).

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504 **Conflict of interests**

505 The following co-authors have listed conflicts of interest: H.L. is funded by the NIA
506 1AGK23076662-02, serves as a board member and 2023 conference co-chair for the American
507 Delirium Society, and serves as the web committee chair for the American Thoracic Society,
508 Nursing Assembly. She has received royalties for keynote addresses at nursing conferences in
509 2022 and received a travel scholarship to attend the 2023 DECLARED conference in Sydney,
510 Australia. G.C. is the President of the Australian Delirium Association. M.G. has received
511 royalties for the edited books (Manual of ICU Procedures, Textbook of Ventilation Fluids,
512 Electrolytes, and Blood Gases) from the publisher Jaypee Brothers Medical Publishers and has
513 received financial support to attend the Annual Conference of Indian Society of Critical Care
514 Medicine. G.H.C. has spoke on Humanization of Healthcare (Pfizer) and was supported by
515 Pfizer to attend the COMMEC 2022 meeting. E.S.O. is supported by NIH/NIA funding (unrelated
516 to this manuscript), received honoraria to present at the Edmund Beacham Annual Current
517 Topics in Geriatrics, has patents issued or pending, and was the 2022 President of the
518 American Delirium Society. M.O.C. received funding unrelated to this manuscript from Novo
519 Fonden, Lundbeck Funder, and Dagmar Marshells Fond. R.V.H received support from
520 OrionPharma to attend meetings and/or travel.

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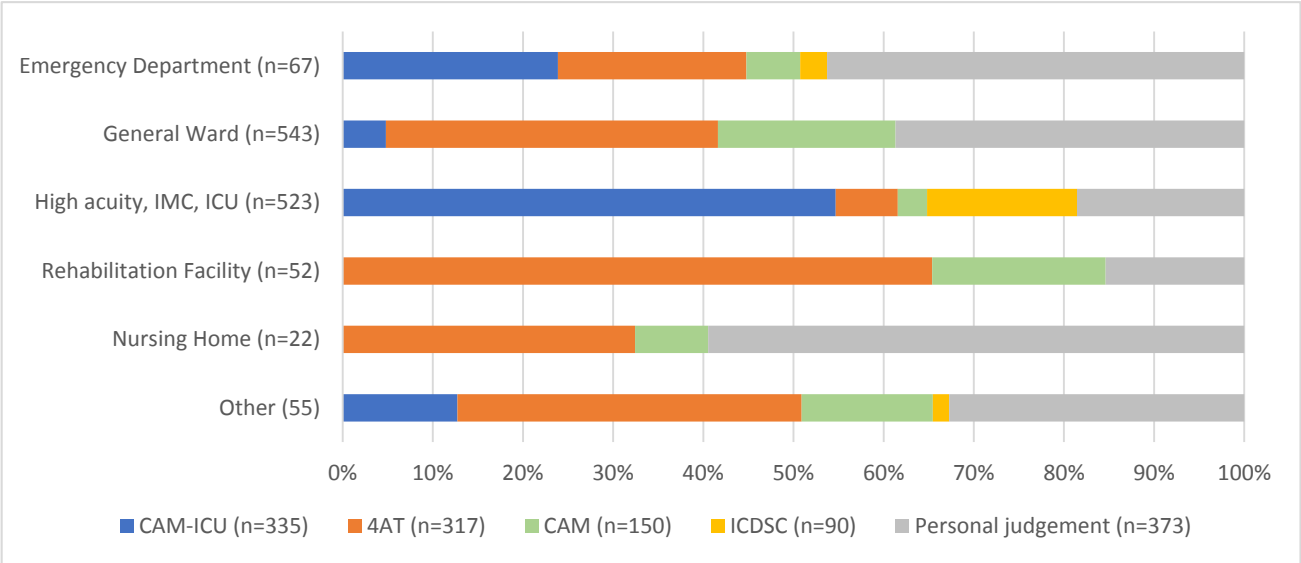
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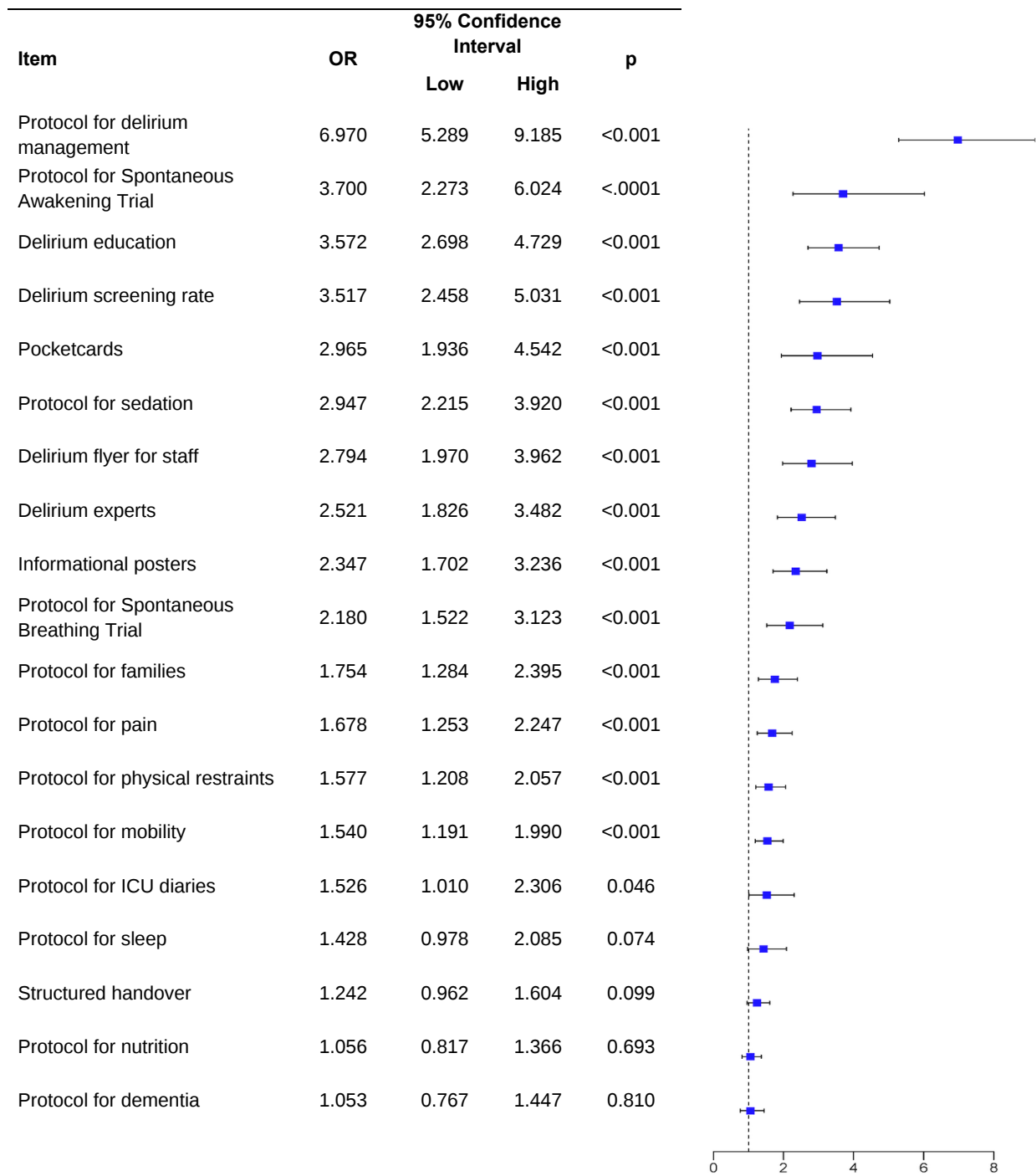
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903 **Figure 1: Use of top five delirium assessments, depending on type of wards/units**
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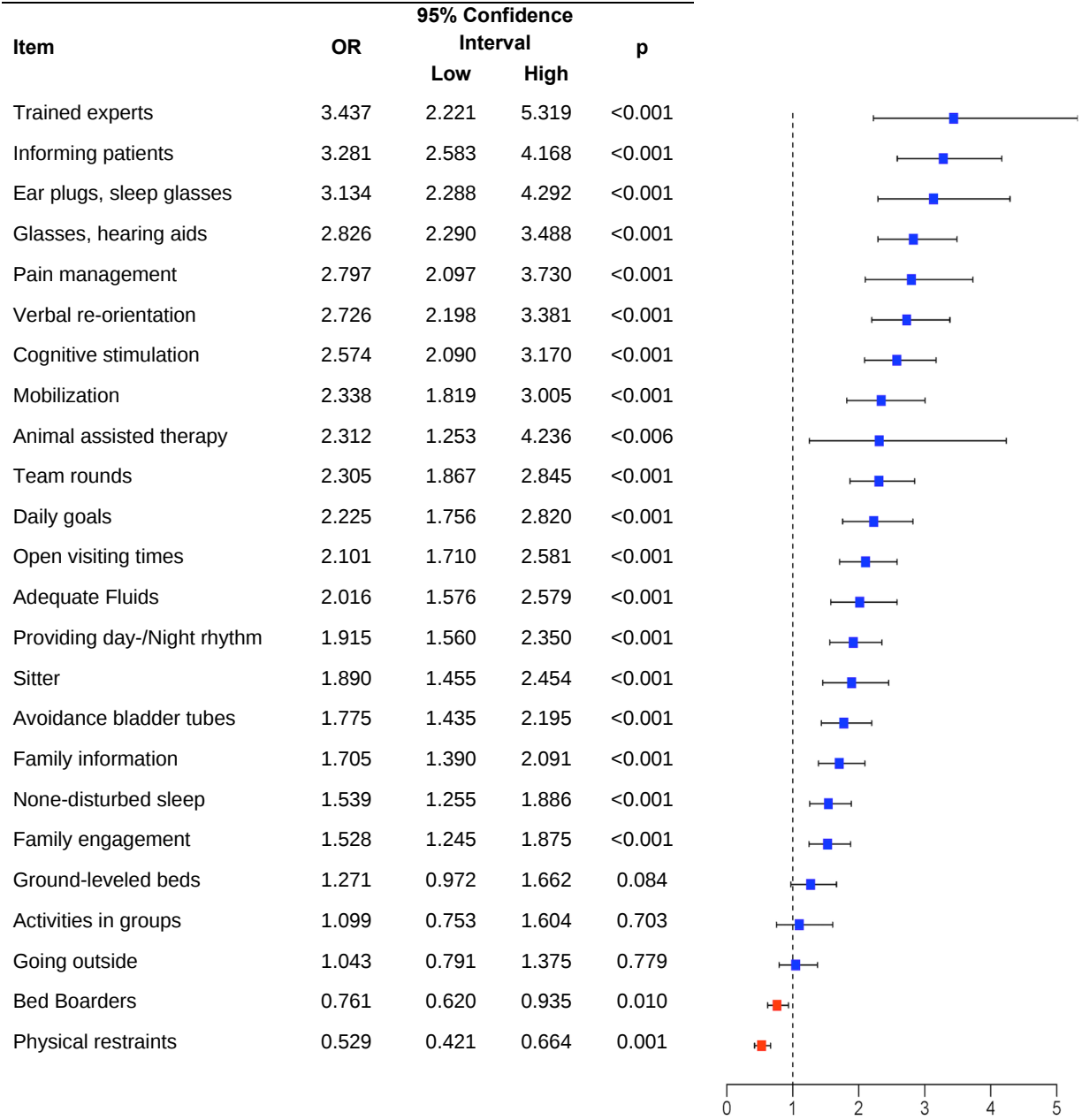


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906 Abbreviations: CAM Confusion Assessment Method; CAM-ICU Confusion Assessment Method for the Intensive Care Unit;
907 ICDSC Intensive Care Delirium Screening Checklist; IMC Intermediate Care Unit; ICU Intensive Care Unit;
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Figure 2: Odds Ratios for using validated delirium assessments, based on present protocols and delirium awareness interventions.

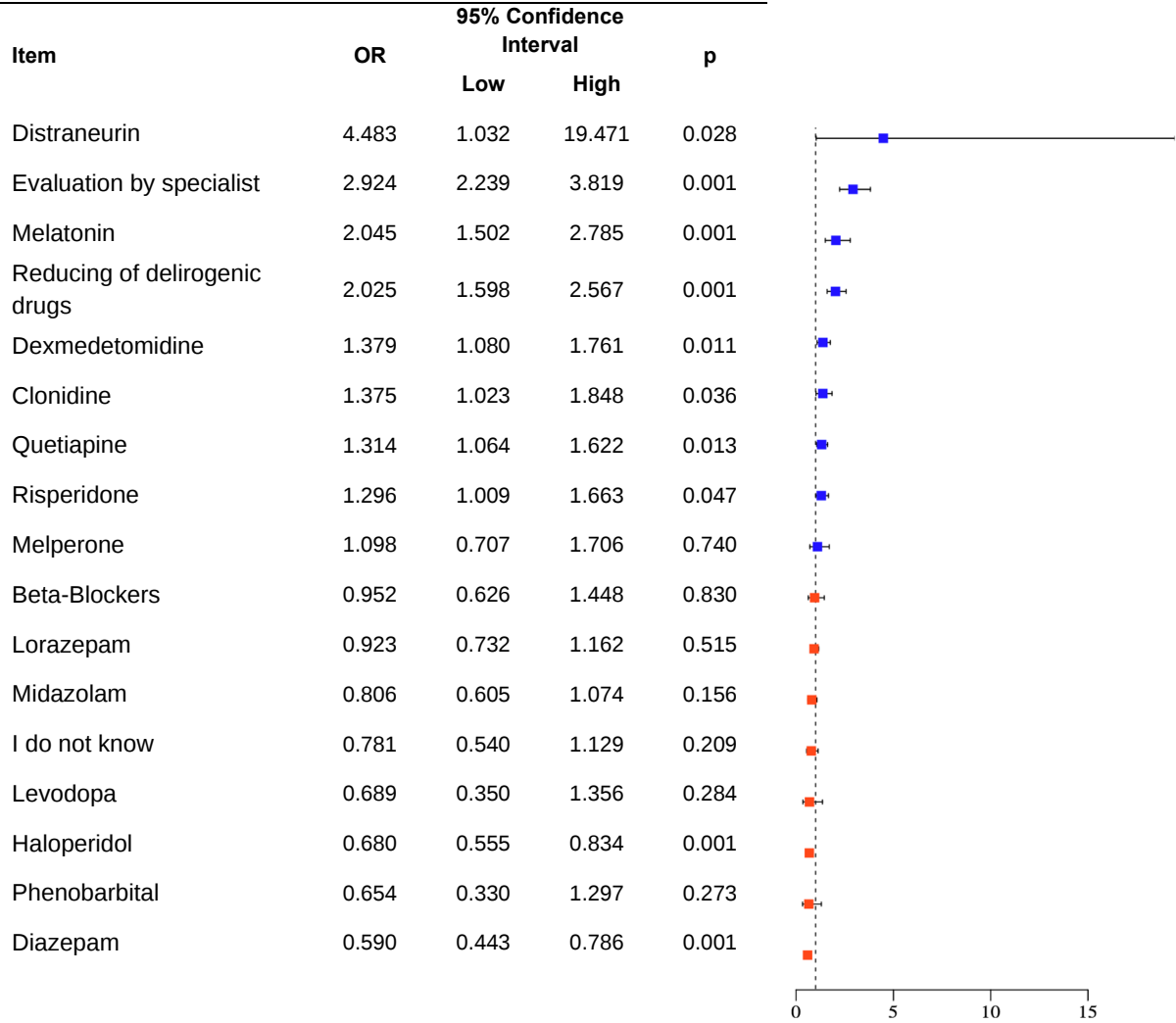


923 Figure 3: Odds Ratios for use of non-pharmacological delirium interventions in wards/units with vs.
 924 without protocol for delirium management
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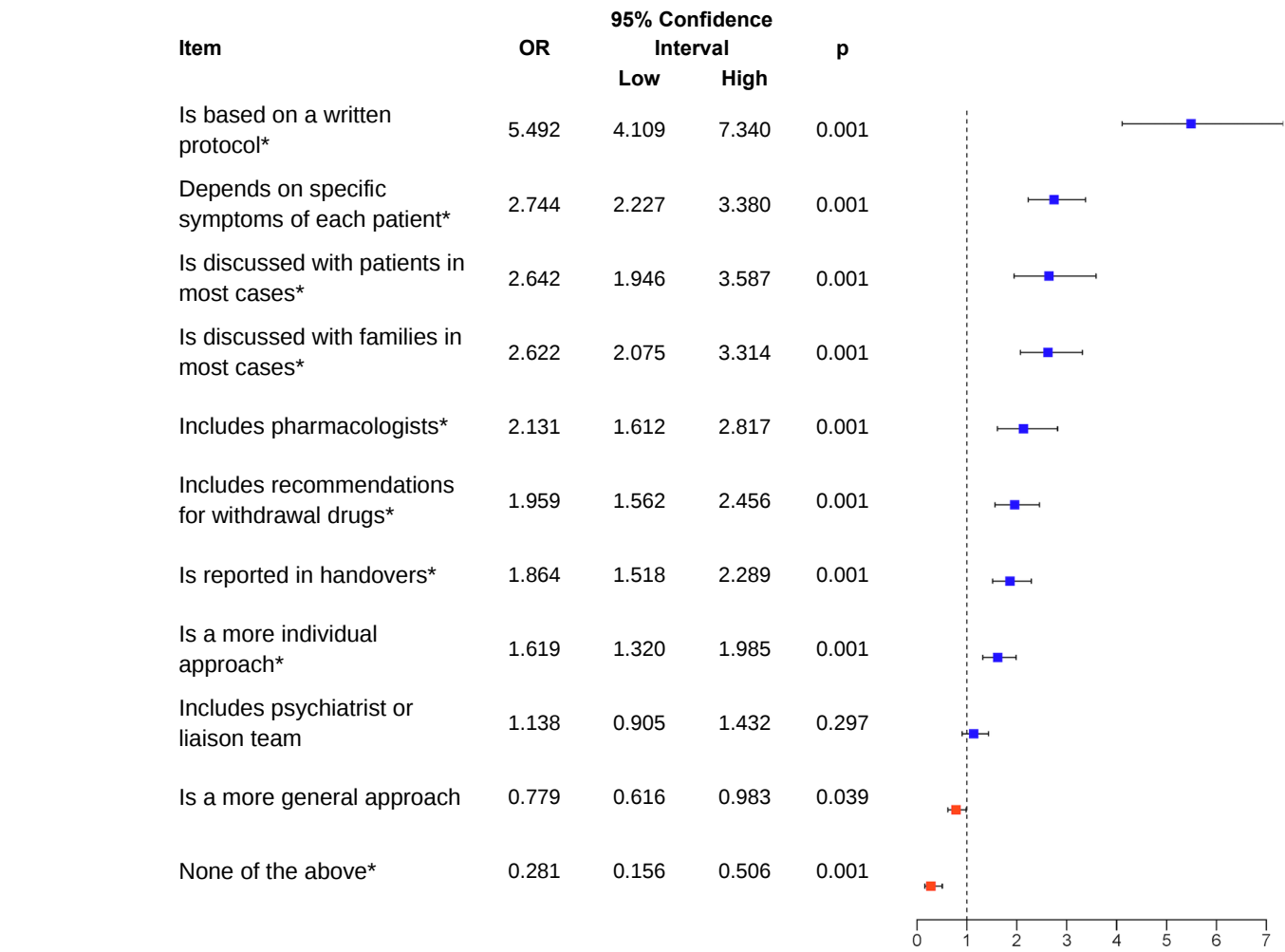
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929 Figure 4: Odds Ratios for pharmacological delirium interventions in wards/units with vs. without protocol
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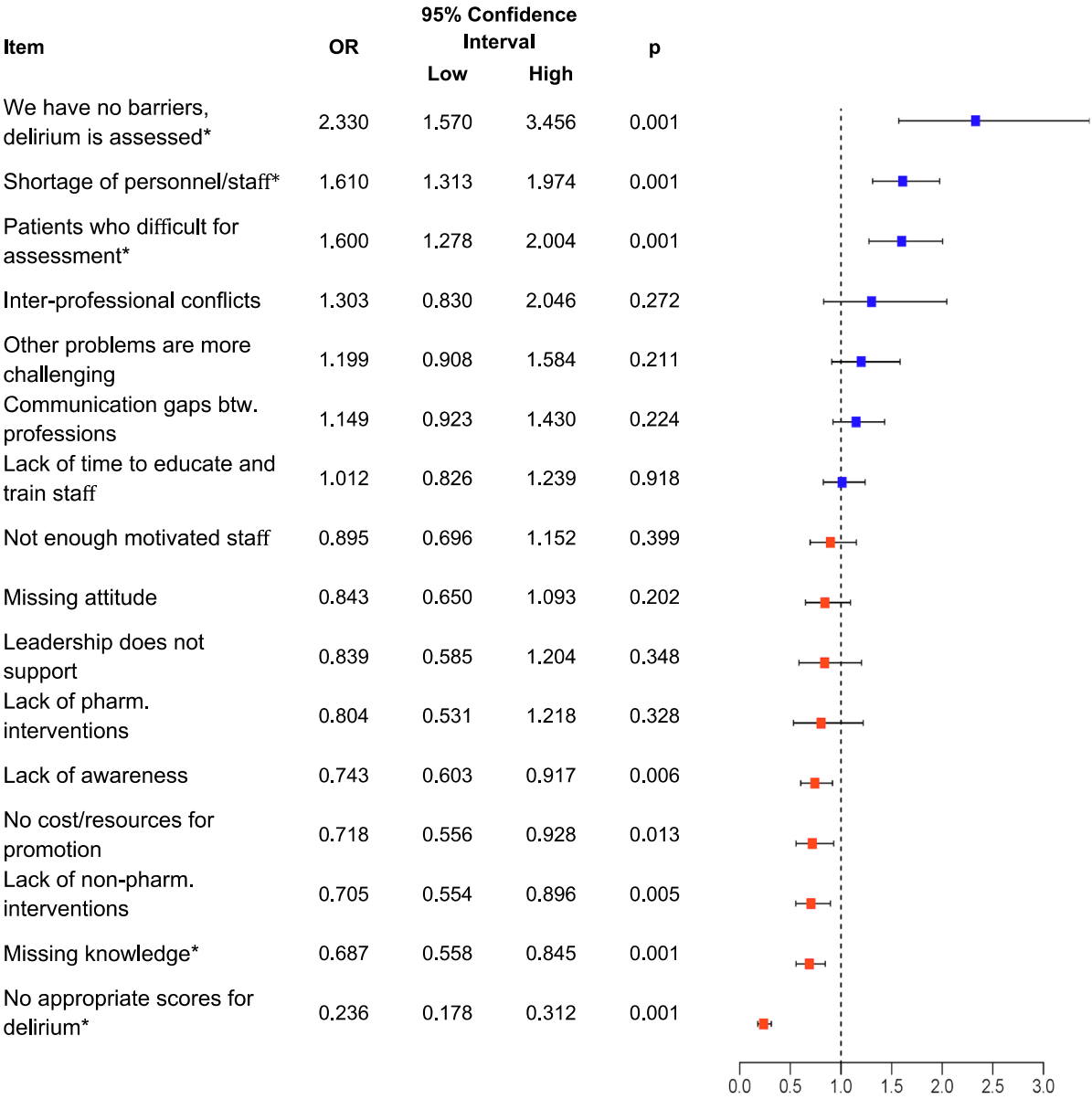
936 Figure 5: Odds Ratios for pharmacological management in wards/units with vs. without protocol for
937 delirium management



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941 Figure 6: Odds Ratios for barriers against delirium management in wards/units with vs. without protocol
 942 for delirium management

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